

CATHAY GENERAL BANCORP

Form 4

December 05, 2006

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHIU THOMAS C T

2. Issuer Name **and** Ticker or Trading
Symbol
**CATHAY GENERAL BANCORP
[CATY]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

777 NORTH BROADWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/01/2006

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

LOS ANGELES, CA 90012

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	12/01/2006		P	21	A \$ 33.96	166,323	I By Family Trust
Common Stock	12/01/2006		P	200	A \$ 33.94	166,523	I By Family Trust
Common Stock	12/01/2006		P	100	A \$ 33.93	166,623	I By Family Trust
Common Stock	12/01/2006		P	150	A \$ 33.92	166,773	I By Family Trust
Common Stock	12/01/2006		P	700	A \$ 33.91	167,473	I By Family Trust

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Common Stock	12/01/2006	P	100	A	\$ 33.9	167,573	I	By Family Trust
Common Stock						59,732	I	By Pension Fund

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title		
Stock Option	\$ 11.0595					01/01/2001 ⁽¹⁾	12/31/2009	Common Stock		5,120
Stock Option	\$ 21.0935					01/01/2002 ⁽¹⁾	12/31/2010	Common Stock		5,120
Stock Option	\$ 17.285					01/01/2003 ⁽¹⁾	12/31/2011	Common Stock		5,120
Stock Option	\$ 11.3435					01/01/2004 ⁽¹⁾	12/31/2012	Common Stock		5,120
Stock Option	\$ 37					02/17/2006 ⁽²⁾	02/17/2015	Common Stock		3,500
Stock Option	\$ 36.24					01/25/2007 ⁽³⁾	01/25/2016	Common Stock		3,500

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other

CHIU THOMAS C T
777 NORTH BROADWAY X
LOS ANGELES, CA 90012

Signatures

Thomas C.T.
Chiu 12/05/2006

 **Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is fully exercisable.
- (2) The option vests in 5 equal annual installments beginning 2/17/06.
- (3) The option vests in 5 equal annual installments beginning 1/25/07.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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