

TANG ANTHONY M
Form 5
February 10, 2011

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
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1. Name and Address of Reporting Person *
TANG ANTHONY M

2. Issuer Name **and** Ticker or Trading
Symbol
CATHAY GENERAL BANCORP
[CATY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement of Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2010

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Senior EVP

777 NORTH BROADWAY

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LOS ANGELES, CA 90012

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	Â	Â	Â	Â	Â	Â	350,584	D	Â
Common Stock	12/31/2010	Â	J ⁽¹⁾	334.6	A	\$ 10.4285	88,520	I	By ESOP
Common Stock	03/10/2010	Â	J ⁽²⁾	280.465	A	\$ 9.3131	262,200.53	I	By spouse
Common Stock	06/10/2010	Â	J ⁽²⁾	249.523	A	\$ 10.4792	262,200.53	I	By spouse

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Common Stock	09/10/2010	Â	J ⁽²⁾	277.083	A	\$ 9.4459	262,200.53	I	By spouse
Common Stock	12/10/2010	Â	J ⁽²⁾	193.579	A	\$ 13.5349	262,200.53	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title Amount of Number of Shares
Stock Option	\$ 15.0475	Â	Â	Â	Â Â	01/18/2002 ⁽³⁾ 01/18/2011	Common Stock 26,360
Stock Option	\$ 16.275	Â	Â	Â	Â Â	02/21/2003 ⁽³⁾ 02/21/2012	Common Stock 26,000
Stock Option	\$ 19.925	Â	Â	Â	Â Â	01/16/2004 ⁽³⁾ 01/16/2013	Common Stock 47,500
Stock Option	\$ 24.8	Â	Â	Â	Â Â	11/20/2004 ⁽³⁾ 11/20/2013	Common Stock 105,140
Stock Option	\$ 37	Â	Â	Â	Â Â	02/17/2006 ⁽³⁾ 02/17/2015	Common Stock 39,820
Stock Option	\$ 36.24	Â	Â	Â	Â Â	01/25/2007 ⁽⁴⁾ 01/25/2016	Common Stock 42,690
Stock Option	\$ 23.37	Â	Â	Â	Â Â	02/21/2009 ⁽⁵⁾ 02/21/2018	Common Stock 44,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TANG ANTHONY M 777 NORTH BROADWAY	Â X	Â	Â Senior	Â

LOS ANGELES, CA 90012

EVP

Signatures

Anthony M.

02/10/2011

Tang

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP Earning Allocation.
- (2) Dividend Reinvestment.
- (3) The option is fully exercisable.
- (4) The option vests in 5 equal annual installments beginning 1/25/07.
- (5) The option vests in 5 equal annual installments beginning 2/21/09.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.