CapLease, Inc. Form 4 November 06, 2013

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * POLLERT WILLIAM R			2. Issuer Name <b>and</b> Ticker or Trading Symbol CapLease, Inc. [LSE]	5. Relationship of Reporting Person(s) to Issuer				
		(Middle)		(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					
C/O CAPITAL LEASE FUNDING, INC, 1065 AVENUE OF THE AMERICAS			(Month/Day/Year) 11/05/2013	X Director 10% Owner X Officer (give title Other (specification) below)  President				
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check				
NEW YORK, NY 10018			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reportin Person				

(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	11/05/2013		D	679,528 (1)	D	\$ 8.5	0	D	
Common Stock	11/05/2013		D	11,885 (1)	D	\$ 8.5	0	I	By 401(k) plan
Common Stock	11/05/2013		D	15,700 (1)	D	\$ 8.5	0	I	By IRA Account
Common Stock	11/05/2013		D	5,000 (1)	D	\$ 8.5	0	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amou	ınt of	Derivative	De
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Se
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Ве
	Derivative				Securities			(Instr.	3 and 4)		O
	Security				Acquired						Fo
					(A) or						Re
					Disposed						Tr
					of (D)						(It
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration	TC:41	or Namel		
					Exercisable	cisable Date		Number			
				C-1- V	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

POLLERT WILLIAM R C/O CAPITAL LEASE FUNDING, INC 1065 AVENUE OF THE AMERICAS NEW YORK, NY 10018

X President

**Signatures** 

/s/ William R.

Pollert 11/05/2013

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Disposed of pursuant to an agreement and plan of merger, dated May 28, 2013, among the issuer, American Realty Capital Properties,
Inc. and certain affiliates of each. Each share of common stock was converted into the right to receive \$8.50 in cash at the effective time of the merger. Shares held directly include 140,114 shares of restricted stock which became fully vested immediately prior to the effective time of the merger.

(2) The reporting person disclaims beneficial ownership of these securities.

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Reporting Owners 2

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