

Edgar Filing: Pioneer Floating Rate Trust - Form NSAR-A

Pioneer Floating Rate Trust
Form NSAR-A
July 29, 2009

PAGE 1
000 A000000 05/31/2009
000 C000000 0001305767
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 PIONEER FLOATING RATE TRUST
001 B000000 811-21654
001 C000000 6177427825
002 A000000 60 STATE STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02109
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 PIONEER INVESTMENT MANAGEMENT, INC.
008 B000001 A
008 C000001 801-8255
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
008 A000002 HIGHLAND CAPITAL MANAGEMENT, L.P.
008 B000002 S
008 C000002 801-54874
008 D010002 DALLAS
008 D020002 TX
008 D030002 75240
010 A000001 PIONEER INVESTMENT MANAGEMENT, INC.
010 B000001 801-8255
010 C010001 BOSTON
010 C020001 MA
010 C030001 02109
010 A000002 PRINCETON ADMINISTRATORS, LLC
010 C010002 PRINCETON
PAGE 2
010 C020002 NJ
010 C030002 08543
010 C040002 9095
011 A000001 MERRILL LYNCH & CO.
011 B000001 8-7221
011 C010001 NEW YORK

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011 C020001 NY
011 C030001 10281
011 C040001 1201
011 A000002 UBS INVESTMENT BANK
011 B000002 8-022651
011 C010002 STAMFORD
011 C020002 CT
011 C030002 06901
011 A000003 RBC CAPITAL MARKETS CORP.
011 B000003 8-045411
011 C010003 MINNEAPOLIS
011 C020003 MN
011 C030003 55402
011 C040003 4422
011 A000004 ADVEST, INC.
011 B000004 8-21409
011 C010004 HARTFORD
011 C020004 CT
011 C030004 06103
011 A000005 JANNEY MONTGOMERY SCOTT, LLC
011 B000005 8-462
011 C010005 PHILADELPHIA
011 C020005 PA
011 C030005 19103
011 C040005 1675
011 A000006 A.G. EDWARDS
011 B000006 8-13580
011 C010006 ST. LOUIS
011 C020006 MO
011 C030006 63103
011 A000007 STIFEL, NICOLAUS & CO., INC.
011 B000007 8-001447
011 C010007 ST. LOUIS
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011 C030007 63102
011 A000008 ROBERT W. BAIRD & CO.
011 B000008 8-00497
011 C010008 MILWAUKEE
011 C020008 WI
011 C030008 53201
011 A000009 FERRIS, BAKER WATTS, INC.
011 B000009 8-16427
011 C010009 BALTIMORE
011 C020009 MD
011 C030009 21202
PAGE 3
011 A000010 J.J.B. HILLIARD, W.L. LYONS, INC.
011 B000010 8-03868
011 C010010 LOUISVILLE
011 C020010 KY
011 C030010 40202
011 A000011 KEYBANC CAPITAL MARKETS
011 B000011 8-0000
011 C010011 CLEVELAND
011 C020011 OH
011 C030011 44114
011 A000012 MORGAN KEEGAN & CO., INC.
011 B000012 8-15001
011 C010012 MEMPHIS
011 C020012 TN
011 C030012 38103
011 A000013 OPPENHEIMER & CO.

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011 B000013 8-04077
011 C010013 NEW YORK
011 C020013 NY
011 C030013 10021
011 A000014 RYAN BECK & CO., INC.
011 B000014 8-12296
011 C010014 FLORHAM PARK
011 C020014 NJ
011 C030014 07932
011 A000015 SUNTRUST ROBINSON HUMPHREY
011 B000015 8-17212
011 C010015 ATLANTA
011 C020015 GA
011 C030015 30308
011 A000016 WEDBUSH MORGAN SECURITIES, INC.
011 B000016 8-12987
011 C010016 LOS ANGELES
011 C020016 CA
011 C030016 90017
011 A000017 WELLS FARGO SECURITIES, LLC
011 B000017 8-22947
011 C010017 SAN FRANCISCO
011 C020017 CA
011 C030017 94104
012 A000001 PIONEER INVEST. MGMT. SHAREHOLDER SVCS., INC.
012 B000001 85-1359
012 C010001 BOSTON
012 C020001 MA
012 C030001 02109
012 A000002 AMERICAN STOCK TRANSFER & TRUST CO. (COMMON)
012 B000002 85-0000
012 C010002 BROOKLYN
012 C020002 NY
012 C030002 11219
012 A000003 DEUTSCHE BANK TRUST COMPANY AMERICAS (PREF.)
PAGE 4
012 B000003 85-0000
012 C010003 NEW YORK
012 C020003 NY
012 C030003 10005
013 A000001 ERNST & YOUNG, LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02116
013 B040001 5072
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
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015 A000002 BANK AUSTRIA
015 B000002 S
015 C010002 VIENNA
015 D010002 AUSTRIA
015 E040002 X
015 A000003 BANQUE BRUXELLES LAMBERT
015 B000003 S
015 C010003 BRUSSELS
015 D010003 BELGIUM
015 E040003 X
015 A000004 BANK OF BOSTON

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015 B000004 S
015 C010004 SAO PAULO
015 D010004 BRAZIL
015 E040004 X
015 A000005 NATIONAL AUSTRALIA BANK
015 B000005 S
015 C010005 MELBOURNE
015 D010005 AUSTRALIA
015 E040005 X
015 A000006 ROYAL BANK OF CANADA
015 B000006 S
015 C010006 TORONTO
015 D010006 CANADA
015 E040006 X
015 A000007 DEN DANSKE BANK
015 B000007 S
015 C010007 COPENHAGEN
015 D010007 DENMARK
015 E040007 X
015 A000008 MERITA BANK
015 B000008 S
015 C010008 HELSINKI
015 D010008 FINLAND
015 E040008 X
015 A000009 BANQUE PARIBAS
PAGE 5
015 B000009 S
015 C010009 PARIS
015 D010009 FRANCE
015 E040009 X
015 A000010 DRESDNER BANK
015 B000010 S
015 C010010 FRANKFURT
015 D010010 GERMANY
015 E040010 X
015 A000011 CITIBANK, N.A.
015 B000011 S
015 C010011 ATHENS
015 D010011 GREECE
015 E040011 X
015 A000012 STANDARD CHARTERED BANK
015 B000012 S
015 C010012 HONG KONG
015 D010012 HONG KONG
015 E040012 X
015 A000013 CITIBANK, N.A.
015 B000013 S
015 C010013 MUMBAI
015 D010013 INDIA
015 E040013 X
015 A000014 BANCA COMMERCIALE ITALIANA
015 B000014 S
015 C010014 MILAN
015 D010014 ITALY
015 E040014 X
015 A000015 THE SUMITOMO BANK, LIMITED
015 B000015 S
015 C010015 TOKYO
015 D010015 JAPAN
015 E040015 X
015 A000016 CITIBANK, N.A.
015 B000016 S

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015 C010016 SEOUL
015 D010016 SOUTH KOREA
015 E040016 X
015 A000017 HONG KONG BANK MALAYSIA
015 B000017 S
015 C010017 KUALA LUMPUR
015 D010017 MALAYSIA
015 E040017 X
015 A000018 CITIBANK, N.A.
015 B000018 S
015 C010018 MEXICO CITY
015 D010018 MEXICO
015 E040018 X
015 A000019 MEESPIERSON
015 B000019 S
PAGE 6
015 C010019 AMSTERDAM
015 D010019 NETHERLANDS
015 E040019 X
015 A000020 NATIONAL NOMINEES LIMITED
015 B000020 S
015 C010020 AUCKLAND
015 D010020 NEW ZEALAND
015 E040020 X
015 A000021 DEN NORSE BANK
015 B000021 S
015 C010021 OSLO
015 D010021 NORWAY
015 E040021 X
015 A000022 CITIBANK, N.A.
015 B000022 S
015 C010022 WARSAW
015 D010022 POLAND
015 E040022 X
015 A000023 BANCO COMERCIAL PORTUGUESE SA
015 B000023 S
015 C010023 LISBON
015 D010023 PORTUGAL
015 E040023 X
015 A000024 STANDARD CHARTERED BANK
015 B000024 S
015 C010024 SINGAPORE
015 D010024 SINGAPORE
015 E040024 X
015 A000025 BANCO DE SANTANDER
015 B000025 S
015 C010025 MADRID
015 D010025 SPAIN
015 E040025 X
015 A000026 SKANDINAVISKA ENSKILDA BANKEN
015 B000026 S
015 C010026 STOCKHOLM
015 D010026 SWEDEN
015 E040026 X
015 A000027 UNION BANK OF SWITZERLAND AG
015 B000027 S
015 C010027 ZURICH
015 D010027 SWITZERLAND
015 E040027 X
015 A000028 CENTRAL TRUST OF CHINA
015 B000028 S
015 C010028 TAIPEI

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015 D010028 TAIWAN
015 E040028 X
015 A000029 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000029 S
015 C010029 BANGKOK

PAGE 7

015 D010029 THAILAND
015 E040029 X
015 A000030 MIDLAND BANK
015 B000030 S
015 C010030 LONDON
015 D010030 UNITED KINGDOM
015 E040030 X
015 A000031 FIRST NATIONAL BANK OF SOUTH AFRICA
015 B000031 S
015 C010031 JOHANNESBURG
015 D010031 SOUTH AFRICA
015 E040031 X

018 000000 Y

019 A000000 Y

019 B000000 70

019 C000000 PIONEERFDS

020 C000001 0

020 C000002 0

020 C000003 0

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020 C000005 0

020 C000006 0

020 C000007 0

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020 C000010 0

021 000000 0

022 A000001 BANC OF AMERICA SECURITIES, LLC

022 B000001 56-2058405

022 C000001 856546

022 D000001 2114

022 A000002 DEUTSCHE BANK SECURITIES, INC.

022 B000002 13-2730828

022 C000002 722074

022 D000002 2095

022 A000003 J.P. MORGAN SECURITIES, INC.

022 B000003 13-3299429

022 C000003 708857

022 D000003 12522

022 A000004 BARCLAYS CAPITAL, INC.

022 C000004 504183

022 D000004 0

022 A000005 BROWN BROTHERS HARRIMAN & CO.

022 B000005 13-4973745

022 C000005 465686

022 D000005 0

022 A000006 BNP PARIBAS SECURITIES CORP.

022 B000006 13-3235334

022 C000006 439140

022 D000006 0

022 A000007 WACHOVIA SECURITIES, LLC

PAGE 8

022 B000007 54-0796506

022 C000007 11141

022 D000007 0

022 A000008 STATE STREET GLOBAL MARKETS, LLC

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| 022 | A000009 | CITIGROUP GLOBAL MARKETS, INC. |
| 022 | B000009 | 11-2418191 |
| 022 | C000009 | 2424 |
| 022 | D000009 | 6268 |
| 022 | A000010 | MORGAN STANLEY & CO., INC. |
| 022 | B000010 | 13-2655998 |
| 022 | C000010 | 5753 |
| 022 | D000010 | 2410 |
| 023 | C000000 | 3732042 |
| 023 | D000000 | 35182 |
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| 026 | G010000 | N |
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| 054 | E000000 | N |
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| | | | |
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| 055 | B000000 | N | |
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| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
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| 070 | N020000 | N | |
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| 070 | O020000 | N | |
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| 070 | P020000 | Y | |
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| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.0000 |

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| | | |
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| 074 | B000000 | 7200 |
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| 074 | Q000000 | 0 |
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| 080 | C000000 | 4500 |
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| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 72369J102 |
| 087 | A030000 | PHD |
| 087 | B010000 | PREFERRED STOCK M7 |

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087 B020000 72369J201
087 C010000 PREFERRED STOCK W7
087 C020000 72369J300
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y

PAGE 13

SIGNATURE MARY KELLERMANN
TITLE ACCOUNTING MANAGER