Stereotaxis, Inc. Form 4 November 18, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

1.Title of

Security

(Instr. 3)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287

2005

January 31, Expires:

OMB

Number:

Estimated average burden hours per

response... 0.5

**OMB APPROVAL** 

(Print or Type Responses)

1. Name and Address of Reporting Person \* MIDDLETON FRED A

Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Middle) (Last)

Stereotaxis, Inc. [STXS]

(Check all applicable)

400 SOUTH EL CAMINO

3. Date of Earliest Transaction

(Month/Day/Year) 11/14/2013

X\_ Director 10% Owner Officer (give title Other (specify below)

REAL, SUITE 1200

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN MATEO, CA 94402-1708

(City) (State) (Zip)

(Month/Day/Year)

2. Transaction Date 2A. Deemed 3. 4. Securities TransactionAcquired (A) or Execution Date, if Code

(Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

(A) or Code V Amount (D) Price Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date** Security or Exercise any Code Securities (Month/Day/Year)

(Instr. 3 and 4)

7. Title and Amoun

Underlying Securiti

#### Edgar Filing: Stereotaxis, Inc. - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Subscription Rights (rights to buy) (1)	\$ 3	11/15/2013		S	9,702	10/31/2013	11/21/2013	Common Stock	3,23
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013		S	1,417	10/31/2013	11/21/2013	Common Stock	471
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013		S	654	10/31/2013	11/21/2013	Common Stock	21
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013		S	1,551	10/31/2013	11/21/2013	Common Stock	51′
Subscription Rights (right to buy) (1)	\$3	11/14/2013		S	1,975	10/31/2013	11/21/2013	Common Stock	658
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013		S	348	10/31/2013	11/21/2013	Common Stock	110
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013		S	323	10/31/2013	11/21/2013	Common Stock	10′
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013		S	1,156	10/31/2013	11/21/2013	Common Stock	38:
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013		S	2	10/31/2013	11/21/2013	Common Stock	0
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013		S	41,332	10/31/2013	11/21/2013	Common Stock	13,7

Subscription Rights (right to buy) (1)	\$ 3	11/14/2013	S	295	10/31/2013	11/21/2013	Common Stock	98
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013	S	247	10/31/2013	11/21/2013	Common Stock	82
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013	S	9	10/31/2013	11/21/2013	Common Stock	3
Subscription Rights (right to buy) (1)	\$ 3	11/14/2013	S	3,307	10/31/2013	11/21/2013	Common Stock	1,10

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MIDDLETON FRED A 400 SOUTH EL CAMINO REAL SUITE 1200 SAN MATEO, CA 94402-1708	X					

## **Signatures**

/s/ Karen Witte Duros, Attorney-in-Fact

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

The Company issued to each holder of record, as of October 31, 2013, and certain warrant holders, subscription rights to acquire shares of common stock of Stereotaxis, Inc. in accordance with a prospectus dated October 29, 2013. Each subscription right entitles the holder to purchase one-third of a share of Stereotaxis, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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