### Edgar Filing: NEON SYSTEMS INC - Form 3

**NEON SYSTEMS INC** 

Form 3

December 20, 2004

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

Estimated average

burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A CSFT Holdings, Inc.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

12/13/2004

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NEON SYSTEMS INC [NEON]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O HOLLAND & KNIGHT LLP, 701 BRICKELL AVE, **SUITE 3000** 

(Street)

(Check all applicable) \_X\_ 10% Owner Director

Officer Other (give title below) (specify below) 6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

MIAMI, FLÂ 333131

(City) (State)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

3.

Ownership

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

(Zip)

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise

5 Ownership Form of Ownership (Instr. 5)

6. Nature of Indirect Beneficial

Date Exercisable Expiration Date

Title

Amount or Number of

Price of Derivative Derivative Security: Security Direct (D)

### Edgar Filing: NEON SYSTEMS INC - Form 3

Shares or Indirect
(I)
(Instr. 5)

Warrant (Right to Buy) 12/13/2004 06/18/2008 Common Stock 1,250,000 \$ 4.8 D Â

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

CSFT Holdings, Inc.

C/O HOLLAND & KNIGHT LLP
701 BRICKELL AVE, SUITE 3000

MIAMI, FLÂ 333131

## **Signatures**

William W. Wilson III, President and CEO

12/20/2004

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2