

Jung Holger R.  
Form 4  
October 02, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Jung Holger R.

(Last) (First) (Middle)

C/O KRATON CORPORATION, 15710 JOHN F. KENNEDY BLVD. SUITE 300

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Kraton Corp [KRA]

3. Date of Earliest Transaction (Month/Day/Year)  
09/29/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
SVP & Polymer Seg. President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	09/29/2017 <sup>(1)</sup>		M	6,234 A	\$ 27.98	48,819	D
Common Stock	09/29/2017		S	6,234 D	\$ 39.5	42,585	D
Common Stock	09/29/2017 <sup>(1)</sup>		M	6,519 A	\$ 23.84	49,104	D
Common Stock	09/29/2017		S	6,519 D	\$ 38	42,585	D
Common Stock	10/02/2017 <sup>(1)</sup>		M	8,449 A	\$ 28.42	51,034	D

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Common Stock 10/02/2017 S 8,449 D \$ 41 42,585 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Options (Right to Buy)	\$ 27.98	09/29/2017 <sup>(1)</sup>		M	6,234	<sup>(2)</sup> 03/03/2024	Common Stock	6,234
Employee Options (Right to Buy)	\$ 23.84	09/29/2017 <sup>(1)</sup>		M	6,519	<sup>(2)</sup> 03/04/2023	Common Stock	6,519
Employee Options (Right to Buy)	\$ 28.42	10/02/2017 <sup>(1)</sup>		M	8,449	<sup>(2)</sup> 03/05/2025	Common Stock	8,449

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director 10% Owner Officer Other

Jung Holger R.  
C/O KRATON CORPORATION  
15710 JOHN F. KENNEDY BLVD. SUITE 300  
HOUSTON, TX 77032

SVP & Polymer Seg. President

## Signatures

/s/ James L. Simmons as Attorney-in-Fact for Holger R.  
Jung

10/02/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan established by the reporting person on August 8, 2017
- (2) The options vested with respect to one-third of the underlying common stock on each of the first three anniversaries of the grant date, subject to the executive's being employed by the company on such vesting dates.

### Remarks:

The transactions reported above in Table I reflect the cashless exercise of stock options. The cashless exercise for each set of c

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