



Edgar Filing: Alphatec Holdings, Inc. - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Non-qualified Stock Option	12/19/2013 <sup>(2)</sup>	12/19/2022	Common Stock	2,500	\$ 24.6	D	Â
Incentive Stock Option	01/04/2014 <sup>(2)</sup>	01/04/2023	Common Sock	6,250	\$ 20.64	D	Â
Incentive Stock Option	08/08/2014 <sup>(2)</sup>	08/08/2023	Common Stock	2,500	\$ 24.48	D	Â
Incentive Stock Option	07/30/2015 <sup>(2)</sup>	07/30/2024	Common Stock	3,750	\$ 17.04	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Nelson Dennis C/O ALPHATEC HOLDINGS, INC. 5818 EL CAMINO REAL CARLSBAD, CA 92008	Â	Â	Â VP, Finance and Controller	Â

## Signatures

/s/ Eburn S. Garner, Esq.,  
Attorney-in-fact

11/09/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 833 of such shares of restricted common stock only vests upon a change of control of the company. 8 shares of such restricted common stock is fully vested.
- (2) The options vest over four years with 25% vesting on the anniversary of the grant date and the remaining 75% vesting in equal installments every three months for the three years thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.