Edgar Filing: Saxon Michael J - Form 4

| Saxon Mich Form 4 | | | | | | | | | | | |
|--|--|---|----------|--|---|----------|------------------|--|--|---|--|
| November 1 | | | | | | | | | | PROVAL | |
| | | | | | TIES AND EXCHANGE COMMISSION | | | | | 3235-0287 | |
| Check the if no lon subject to Section Form 4 | ger STATE 16. or | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | |
| Form 5 obligatio may cor <i>See</i> Inst 1(b). | ons Section 17 | (a) of the | Public U | | ding Con | npany | y Act of 1 | Act of 1934, 1935 or Section | 1 | | |
| (Print or Type | Responses) | | | | | | | | | | |
| Saxon Michael J Symbol Amtru | | | Symbol | er Name an At Financia | | | l | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | f Earliest T Day/Year) 2012 | ransaction | | - Ŧ | Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer | | | |
| | | | | endment, D nth/Day/Yea | - | 1 | - | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person | | | |
| NEW YOR | K, NY 10038 | | | | | | | Form filed by M Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Secur | ities Acqui | ired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | Security (Month/Day/Year) Execution Date, if | | | 3. Transactio Code (Instr. 8) | 4. Securiti ordr Dispose (Instr. 3, 4 | ed of (| (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock (1) | 11/14/2012 | | | Code V M | Amount 13,200 (1) | (D) A | Price \$ 6.36 | (Instr. 3 and 4) 114,378 | D | | |
| Common Stock (1) | 11/14/2012 | | | S | 5,688 (2) | D | \$ 26.49 | 108,690 | D | | |
| Common Stock (1) | 11/14/2012 | | | S | 1,006 (2) | D | \$ 26.685 | 107,684 | D | | |
| Common Stock (1) | 11/14/2012 | | | S | 780 (2) | D | \$ 26.67 | 106,904 | D | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of ctiorDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--------|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option | \$ 6.36 | 11/14/2012 | | М | | 13,200 | 02/09/2007 | 02/09/2016 | Common Stock | 13,200 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|----------|---------------|-------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Saxon Michael J C/O AMTRUST FINANCIAL SERVICES, INC. 59 MAIDEN LANE, 6TH FLOOR NEW YORK, NY 10038 | | | Chief Operating Officer | | | | | |
| Signatures | | | | | | | | |
| /s/ Catherine Miller, Attorney-in-Fact for Michael J. Saxon | | 11/14/2012 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on (1)September 19, 2011.
- (2) Shares withheld to satisfy income tax withholding liabilities and payment of the option exercise price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.