

Firsthand Technology Value Fund, Inc.
 Form 3
 February 19, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Bulldog Investors, LLC</p> <p>(Last) (First) (Middle)</p> <p>PARK 80 WEST - PLAZA TWO,Â 250 PEHLE AVE., SUITE 708</p> <p>(Street)</p> <p>SADDLE BROOK,Â NJÂ 07663</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>12/18/2013</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Firsthand Technology Value Fund, Inc. [SVVC]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p>___ Director <input checked="" type="checkbox"/> 10% Owner ___ Officer ___ Other (give title below) (specify below)</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p>___ Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|---|---|---|
| Common Stock | 998,412 | I | By Clients <u>(1)</u> |
| Common Stock | 998,412 | I | By Clients <u>(2)</u> |
| Common Stock | 998,412 | I | By Clients <u>(3)</u> |
| Common Stock | 998,412 | I | By Clients <u>(4)</u> |
| Common Stock | 5,000 | D <u>(5)</u> | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Bulldog Investors, LLC PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | ^ | ^ X | ^ | ^ |
| GOLDSTEIN PHILLIP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | ^ | ^ X | ^ | ^ |
| Dakos Andrew PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | ^ | ^ X | ^ | ^ |
| Samuels Steven PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663 | ^ | ^ X | ^ | ^ |

Signatures

| | |
|--|------------|
| /s/ Phillip Goldstein on behalf of Bulldog Investors, LLC | 02/19/2014 |
| __Signature of Reporting Person | Date |
| /s/ Phillip Goldstein | 02/19/2014 |
| __Signature of Reporting Person | Date |
| /s/ Andrew Dakos | 02/19/2014 |
| __Signature of Reporting Person | Date |
| /s/ Steven Samuels | 02/19/2014 |
| __Signature of Reporting Person | Date |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Certain private investment fund clients of Bulldog Investors, LLC are the beneficial owners of 998,412 shares of SVVC. Bulldog Investors, LLC disclaims beneficial interest in such shares except to the extent of any pecuniary interest therein.

Andrew Dakos is an owner of Bulldog Investors, LLC. He also is a Principal of the general partner of certain of such private investment fund clients of Bulldog Investors, LLC, and a limited partner in certain of such private fund clients. Mr. Dakos disclaims beneficial interest in shares held by such clients, except to the extent of any pecuniary interest therein.

Steven Samuels is an owner of Bulldog Investors, LLC. He also is a Principal of the general partner of certain of such private investment fund clients of Bulldog Investors, LLC, and a limited partner in certain of such private fund clients. Mr. Samuels disclaims beneficial interest in shares held by such clients, except to the extent of any pecuniary interest therein.

Phillip Goldstein is an owner of Bulldog Investors, LLC. He also is a Principal of the general partner of certain of such private investment fund clients of Bulldog Investors, LLC, and a limited partner in certain of such private fund clients. Mr. Goldstein disclaims beneficial interest in shares held by such clients, except to the extent of any pecuniary interest therein.

(5) Shares are held by Phillip Goldstein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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