Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 4

Firsthand Technology Value Fund, Inc. Form 4 February 10, 2015

February 10, 201	15									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check this bo	X	Washington, D.C. 20349								
if no longer subject to	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								2005 average	
Section 16.	SECURITIES							burden hou	rs per	
Form 5 obligations may continue	Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,See InstructionSection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								0.5	
(Print or Type Resp	onses)									
Bulldog Investors, LLC Syn			2. Issuer Name and Ticker or Trading Symbol Firsthand Technology Value Fund,				5. Relationship of Reporting Person(s) to Issuer			
			[SVVC]	iogy van		ina,	(Check all applicable)			
(Month/Da			h/Day/Year)	f Earliest Transaction Day/Year)				e title Oth below)	% Owner er (specify	
PARK 80 WES TWO, 250 PEH 708			5/2015				below)			
			Amendment, Dat Month/Day/Year)	-			 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person 			
SADDLE BROOK, NJ 07663X_Form filed by More than One Reporting Person							eporting			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								lly Owned		
	Transaction Date Aonth/Day/Year)	any Code Disposed of (I (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and		(A) or Securities of (D) Beneficially 4 and 5) Owned Following (A) Reported Transaction(s)		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
G			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common 02 Stock 02	2/06/2015		S	91	D	\$ 15	605,132	Ι	By Clients (1) (2)	
Common 02 Stock 02	2/09/2015		S	945	D	\$ 15	604,187	Ι	By Clients (1) (2)	
Common Stock							4,148	D <u>(3)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Bulldog Investors, LLC PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		Х				
GOLDSTEIN PHILLIP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		Х				
Dakos Andrew PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		Х				
Samuels Steven PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		Х				
Signatures						
/s/ Phillip Goldstein on behalf of B LLC	ulldog In	vestors,	02	2/10/2015		
** Signature of Reporting Pers	son			Date		
/s/ Phillip Goldstein			02	2/10/2015		

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**Signature of Reporting Person	Date
/s/ Andrew Dakos	02/10/2015
**Signature of Reporting Person	Date
/s/ Steven Samuels	02/10/2015
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

by such clients, except to the extent of any pecuniary interest therein.

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Certain private investment fund clients of Bulldog Investors, LLC are the beneficial owners of these shares of SVVC. Bulldog Investors, LLC disclaims beneficial interest in such shares except to the extent of any pecuniary interest therein.

Andrew Dakos, Steven Samuels and Phillip Goldstein are the owners of Bulldog Investors, LLC. Messrs. Dakos, Samuels and Goldstein also are the Principals of the general partner of certain of such private investment fund clients of Bulldog Investors, LLC, and limited partners in certain of such private fund clients. Each of Messrs. Dakos, Samuels and Goldstein disclaims beneficial interest in shares held

(3) Shares are held by Phillip Goldstein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.