

Firsthand Technology Value Fund, Inc.
Form 8-K
August 29, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report: August 29, 2018
(Date of earliest event reported)

Firsthand Technology Value Fund, Inc.
(Exact name of registrant as specified in its charter)

Maryland
(State or other jurisdiction
of incorporation)

814-00830
(Commission File Number)

27-3008946
(IRS Employer
Identification Number)

150 Almaden Blvd., Suite 1250

San Jose, CA
(Address of principal executive offices)

95113
(Zip Code)

(800) 976-8776
(Registrant's telephone number, including area code)

Not Applicable
(Former Name or Former Address, if changed since last report)

Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01. Other Events

On August 29, 2018, Firsthand Technology Value Fund, Inc. issued a press release announcing today that Pivotal Systems (ASX: PVS) (the “Company”) has released its financial results for the first half of its 2018 fiscal year. Revenue for the year-to-date period ended July 2, 2018, increased 23% to \$11.19 million, as compared with \$9.07 million in revenue for the first half of 2017. Gross margins improved to 37% during the period, up from 18% in the year-ago period, and the Company generated positive cash flow from operations of \$0.33 million.

Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1

Press Release dated August 29, 2018.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: August 29, 2018 **FIRSTHAND
TECHNOLOGY
VALUE FUND,
INC.**

By: /s/ Kevin Landis
Kevin Landis
President

Exhibit Index

Exhibit No.	Description
--------------------	--------------------

99.1	Press release dated August 29, 2018
------	-------------------------------------