

FLUSHING FINANCIAL CORP  
Form 4  
August 06, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Weichsel W Jeffrey

2. Issuer Name and Ticker or Trading Symbol  
FLUSHING FINANCIAL CORP [FFIC]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Senior Vice President

(Last) (First) (Middle)  
1979 MARCUS AVENUE, SUITE E140  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/02/2013

LAKE SUCCESS, NY 11042

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price (A) or (D)  |  |                                   |
| Common Stock                    | 08/02/2013                           |  | M                              |   | 1,500 (1) \$ 16.77  | 28,051   | D                                 |
| Common Stock                    | 08/02/2013                           |  | M                              |   | 1,500 (2) \$ 17.88  | 29,551   | D                                 |
| Common Stock                    | 08/02/2013                           |  | M                              |   | 1,500 (3) \$ 16.44  | 31,051   | D                                 |
| Common Stock                    | 08/02/2013                           |  | M                              |   | 4,000 (4) \$ 16.65  | 35,051   | D                                 |
| Common Stock                    | 08/02/2013                           |  | M                              |   | 880 (5) \$ 8.44   | 35,931   | D                                 |

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|              |            |   |              |   |                    |            |   |        |
|--------------|------------|---|--------------|---|--------------------|------------|---|--------|
| Common Stock | 08/02/2013 | F | 8,418<br>(6) | D | \$ 19.2            | 27,513     | D |        |
| Common Stock | 08/06/2013 | S | 3,000        | D | \$<br>19.36<br>(7) | 24,513     | D |        |
| Common Stock |            |   |              |   |                    | 15,034 (8) | I | 401(K) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)    | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |                            |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------------------|
|   |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                         | Amount or Number of Shares |
| Employee Stock Option Exercise (Right to buy) | \$ 16.77   | 08/02/2013                           |  | M                              | 1,500   | (1) 06/14/2014   | Common Stock  | 1,500                         |                            |
| Employee Stock Option Exercise (Right to buy) | \$ 17.88   | 08/02/2013                           |  | M                              | 1,500   | (2) 06/20/2015   | Common Stock  | 1,500                         |                            |
| Employee Stock Option Exercise (Right to buy) | \$ 16.44   | 08/02/2013                           |  | M                              | 1,500   | (3) 06/19/2016   | Common Stock  | 1,500                         |                            |

|  |          |            |   |       |     |            |                 |       |
|--|----------|------------|---|-------|-----|------------|-----------------|-------|
| Employee<br>Stock<br>Option<br>Exercise<br>(Right to<br>buy) | \$ 16.65 | 08/02/2013 | M | 4,000 | (4) | 06/18/2017 | Common<br>Stock | 4,000 |
| Employee<br>Stock<br>Option<br>Exercise<br>(Right to<br>buy) | \$ 8.44  | 08/02/2013 | M | 880   | (5) | 01/29/2019 | Common<br>Stock | 880   |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                       |       |
|--|---------------|-----------|-----------------------|-------|
|  | Director      | 10% Owner | Officer               | Other |
| Weichsel W Jeffrey<br>1979 MARCUS AVENUE<br>SUITE E140<br>LAKE SUCCESS, NY 11042 |               |           | Senior Vice President |       |

## Signatures

Signed by Russell A. Fleishman Under Power of Attorney by William J. Weichsel 08/06/2013

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option exercise from June 15, 2004 grant. Options became exercisable on December 21, 2004.
- (2) Stock option exercise from June 21, 2005 grant. Options became exercisable on December 21, 2005.
- (3) Stock option exercise from June 20, 2006 grant. Options became exercisable in five equal installments beginning on the first anniversary of the date of grant.
- (4) Stock option exercise from June 19, 2007 grant. Options became exercisable in five equal installments beginning on the first anniversary of the date of grant.
- (5) Stock option exercise from January 30, 2009 grant. Options became exercisable in five equal installments beginning on the first anniversary of the date of grant.
- (6) Shares withheld to satisfy option price and taxes.
- (7) All shares sold at \$19.36.
- (8) Shares held in FB 401k Savings Plan a/o 8/6/13.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.