Edgar Filing: ENTROPIC COMMUNICATIONS INC - Form 4

ENTROPIC Form 4 May 15, 2014	COMMUNICA' 4	TIONS IN	٩C									
FORM A									OMB APPROVAL			
VILLE VILLE V				ECURITIES AND EXCHANGE COMM Washington, D.C. 20549				COMMISSION	OMB Number:	3235-0287		
Check thi if no long	or							Expires:	January 31, 2005			
subject to Section 10 Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							burden hou	Estimated average burden hours per response 0.5			
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										0.5		
(Print or Type R	Responses)											
:			2. Issuer Name and Ticker or Trading Symbol ENTROPIC COMMUNICATIONS INC [ENTR]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 6290 SEQU					of Earliest Transaction Day/Year)				X_ Director 10% Owner Officer (give title Other (specify below) below)			
Filed(M				f Amendment, Date Original d(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
SAN DIEGO	D, CA 92121							Person		porting		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecurit	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	 Execution any 		3. Transactio Code (Instr. 8) Code V	n(A) or Dis (D)	sposed	l of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	05/14/2014			М	11,876	А	\$0	71,063	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 3.13	05/14/2014		А	10,000		<u>(1)</u>	05/14/2024	Common Stock
Restricted Stock Unit	(2)	05/14/2014		А	15,974		(3)	(3)	Common Stock
Restricted Stock Unit	<u>(4)</u>	05/14/2014		М		11,876	(5)	(5)	Common Stock

Reporting Owners

Reporting Owner Name / Address		Relationsh	ips		
	Director 10% Owner Officer O		Officer	Other	
PADVAL UMESH 6290 SEQUENCE DRIVE SAN DIEGO, CA 92121	Х				
Signatures					
By: Lance W. Bridges, as attor Padval	sh	05/15/2014			
<u>**</u> Signature of Repo		Date			

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1/12th of the shares vest each month over twelve consecutive months beginning on May 14, 2014.
- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock.
- (3) 100% of the shares shall vest on May 14, 2015.
- (4) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock.
- (5) 100% of the shares vested on May 14, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.