Edgar Filing: Wicks Tony D.S. - Form 4

Wicks Tony D.S.										
Form 4 January 15, 2013										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							т	PPROVAL		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	TATEMENT C Filed pursuant to ction 17(a) of the 30(h	Wa DF CHAN Section Public U	nshington NGES IN SECUF 16(a) of th	, D.C. 20 BENEF RITIES ne Securit ding Cor	549 ICIAL ties Exc npany A	OWNERSHIP OF hange Act of 1934, act of 1935 or Sectio	Number: Expires: Estimated burden hou response	urs per		
(Print or Type Responses))									
ſ			2. Issuer Name and Ticker or Trading Symbol NovaBay Pharmaceuticals, Inc. [NBY]			Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First C/O NOVABAY PHARMACEUTICA HORTON STREET	ALS, INC., 5980		of Earliest T Day/Year) 2013	ransaction		X Director Officer (give below)		% Owner her (specify		
			If Amendment, Date Original iled(Month/Day/Year)			Applicable Line) _X_ Form filed by	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
EMERYVILLE, CA	94608					Person	More than One R	eporting		
(City) (State) (Zip)	Tał	ole I - Non-l	Derivative	Securitie	s Acquired, Disposed o	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, i any (Month/Day/Year)		n Date, if	Code Disposed of (D)		Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect			
Reminder: Report on a se	parate line for each	class of sec								

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option (right to buy) $\frac{(1)}{(1)}$	\$ 1.13	01/02/2013		А	15,000	01/02/2013(2)	01/02/2023	Common Stock	15,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Wicks Tony D.S. C/O NOVABAY PHARMACEUTICALS, INC. 5980 HORTON STREET, SUITE 550 EMERYVILLE, CA 94608	Х						
Signatures							
/s/ Theresa Granados Uriarte, as Attorney-in-Fact for Tony D.S.							
Wicks			01/	15/2013			
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the NovaBay Pharmaceuticals Inc. Director Compensation Plan
- (2) Stock option will vest in equal monthly installments over one year starting from the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.