## Edgar Filing: AtheroNova Inc. - Form 4

AtheroNova Form 4	Inc.							
June 21, 201	13							
FORM		CTATES SEA			COMMERION		PPROVAL	
Washington, D.C. 20549							3235-0287	
Check th if no lon	ger					Expires:	January 31, 2005	
subject t Section Form 4 o	16. <b>SIAIEN</b>	AENT OF CH	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				average Irs per 0.5	
Form 5 obligation may con <i>See</i> Instru- 1(b).	ons Section 17(	(a) of the Publ	lic Utility Hold	Securities Exchan ing Company Act Company Act of 1	of 1935 or Sectio	on		
(Print or Type	Responses)							
1. Name and Address of Reporting Person <u>*</u> KNOLL FRED			Issuer Name and ' nbol neroNova Inc. [	-	5. Relationship of Reporting Person(s) to Issuer			
(Legt) (First) (Middle)			Date of Earliest Tra		(Check all applicable)			
(Last) (First) (Middle) C/O KNOLL CAPITAL MANAGEMENT, L.P., 5 EAST			onth/Day/Year) 17/2013	ilsaction	X Director Officer (give below)		6 Owner er (specify	
	EET, SUITE 12	101						
			d(Month/Day/Year) Applicable Line			Joint/Group Filing(Check y One Reporting Person		
NEW YOR	K, NY 10017					More than One R		
(City)	(State)	(Zip)	Table I - Non-De	erivative Securities A	cquired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date any	e, if Transaction	(A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Densin dem Des		. f	£					
Kenninger: Ke	port on a separate line			information cont required to respo	prindirectly. spond to the collect ained in this form ond unless the for ntly valid OMB col	are not m	SEC 1474 (9-02)	
	Tab			ired, Disposed of, or options, convertible s		L		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amo
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Secur

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A) (D)	) Date Exercisable	Expiration Date	Title	An Nu Sha	
Warrants to Purchase Common Stock	\$ 0.393	06/17/2013		J <u>(1)</u>	636,266	05/13/2010	0 05/13/2014	Common Stock	6	
Warrants to Purchase Common Stock	\$ 0.6	06/17/2013		J <u>(1)</u>	60,000	04/25/2011	04/25/2014	Common Stock	0	
2.5% Senior Secured Convertible Note	\$ 0.29	06/17/2013		J <u>(1)</u>	1,596,062	05/13/2010	0 05/12/2014	Common Stock	1,	
2.5% Senior Secured Convertible Note	\$ 0.29	06/17/2013		J <u>(1)</u>	882,423	09/04/2012	2 09/03/2016	Common Stock	8	
2.5% Senior Secured Convertible Note	\$ 0.29	06/17/2013		J <u>(1)</u>	587,484	10/01/2012	2 09/30/2016	Common Stock	5	
2.5% Senior Secured Convertible Note	\$ 0.29	06/17/2013		J <u>(1)</u>	881,226	10/31/2012	2 10/30/2016	Common Stock	8	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships				
	Director	10% Owner	Officer	Other		
KNOLL FRED C/O KNOLL CAPITA 5 EAST 44TH STREE NEW YORK, NY 100	Х					
Signatures	- <i>'</i>					
/s/ Fred Knoll	06/19/2013					
<u>**</u> Signature of	Date					

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Effective June 17, 2013, Europa International, Inc. waived the beneficial ownership cap applicable to these securities. The reporting person, the principal partner and President of Knoll Capital Management, L.P., the investment manager for Europa International, Inc.,

person, the principal parties and resident of Knoh Capital Management, E.F., the investment manager for Europa International, inc. exercises voting and dispositive power over the shares held by Europa International, Inc. but disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.