

HEINTZMAN DAVID P
Form 4
June 05, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HEINTZMAN DAVID P

(Last) (First) (Middle)
3019 POPPY WAY
(Street)

LOUISVILLE, KY 40206

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction
(Month/Day/Year)
06/01/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock					6,061	I	By Spouse
Common Stock					14,854	I	By 401k/ESOP-David Heintzman
Common Stock	04/12/2018		G	V 500 D <u>1</u>	170,552	D	
Common Stock	06/01/2018		M	26,325 A \$ 40	196,877	D	
Common Stock	06/01/2018		F	17,358 D \$ 40	179,519	D	

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Common Stock 06/04/2018 S 8,967 D \$ 39.8535 170,552 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Stock Appreciation Right	\$ 35.9					02/20/2019 02/20/2028	Common Stock	12,8
Stock Appreciation Right	\$ 15.84					03/15/2012 03/15/2021	Common Stock	21,5
Stock Appreciation Right	\$ 15.24					02/20/2013 02/20/2022	Common Stock	36,4
Stock Appreciation Right	\$ 15.26					02/19/2014 02/19/2023	Common Stock	25,0
Stock Appreciation Right	\$ 19.37					02/18/2015 02/18/2024	Common Stock	28,9
Stock Appreciation Right	\$ 22.96					03/17/2016 03/17/2025	Common Stock	21,7
Stock Appreciation Right	\$ 25.76					03/15/2017 03/15/2026	Common Stock	24,7
Stock Appreciation Right	\$ 40					03/21/2018 03/21/2027	Common Stock	13,2

Stock

Appreciation	\$ 14.02	06/01/2018		M	26,325	02/16/2011	02/16/2020	Common Stock	26,3
Right									

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HEINTZMAN DAVID P 3019 POPPY WAY LOUISVILLE, KY 40206	X		Chairman & CEO	

Signatures

/s/ David
Heintzman 06/05/2018

__Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Gifted Shares to Charitable Organization

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