

RUIZ THOMAS MARK
Form 3
February 20, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
RUIZ THOMAS MARK		(Month/Day/Year)	InfuSystem Holdings, Inc [INFU]	
(Last)	(First)	(Middle)	02/12/2019	
31700 RESEARCH PARK DRIVE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
MADISON HEIGHTS, MI 48071			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			VP OF SALES AND MARKETING	<input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,337	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title		

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				Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	07/31/2017	12/08/2024	Common Stock	50,000	\$ 2.69	D	Â
Stock Option (Right to Buy)	Â (1)	08/18/2026	Common Stock	40,000	\$ 2.76	D	Â
Stock Option (Right to Buy)	Â (2)	03/15/2027	Common Stock	25,000	\$ 2.15	D	Â
Stock Option (Right to Buy)	Â (3)	09/06/2022	Common Stock	25,000	\$ 1.98	D	Â
Stock Option (Right to Buy)	Â (4)	07/19/2023	Common Stock	25,000	\$ 3.36	D	Â
Restricted Stock Units	03/11/2019 ⁽⁶⁾	03/12/2019 ⁽⁶⁾	Common Stock	2,083	\$ ⁽⁵⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RUIZ THOMAS MARK 31700 RESEARCH PARK DRIVE MADISON HEIGHTS, MI 48071	Â	Â	Â	VP OF SALES AND MARKETING Â

Signatures

/s/ Thomas M. Ruiz 02/20/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option, representing the right to purchase a total of 40,000 shares, became exercisable in 36 equal monthly installments following the August 18, 2016 date of grant.
- (2) The option, representing the right to purchase a total of 25,000 shares, became exercisable in 36 equal monthly installments following the March 16, 2017 date of grant.
- (3) Stock option vests 33% per year on anniversary date starting September 7, 2018
- (4) Stock option vests 33% per year on anniversary date starting July 19, 2019
- (5) Restricted stock units convert into common stock on a one-for-one basis.
- (6) The reporting person was awarded restricted stock units on March 11, 2015, which vest in one remaining annual installment on March 11th of 2019. Settlement of the underlying shares of common stock will occur on vesting date, assuming continued employment through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.