Edgar Filing: SCHERER BARBARA VAUGHN - Form 4

SCHERER BARBARA VAUGHN

Form 4

November 18, 2009

FORM 4		OMB APPROVAL	
		OMB Number:	3235-028
Check this box if no longer	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF	Expires:	January 31 200
subject to Section 16.		Estimated average burden hours per	
Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940	response	0.

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person *

SCHERER BARBARA VAUGHN		by moor	RONICS INC /CA/ [PLT]	Issuer (Check all applicable)			
(Last) 345 ENCINA		3. Date of (Month/D) 11/16/20	· ·	Director 10% Owner Selow) Director 10% Owner Other (specify below) CFO			
	(Street)		ndment, Date Original th/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SANTA CRU	UZ, CA 95060			Form filed by More than One Reporting Person			
(City)	(State) (Z	Zip) Table	e I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Pri	Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)			
COMMON STOCK	11/16/2009		S $\frac{1,250}{(1)}$ D $\frac{\$}{27.0}$	756 54,998 D			
COMMON STOCK				3,355 I by 401(k)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

5. Relationship of Reporting Person(s) to

Edgar Filing: SCHERER BARBARA VAUGHN - Form 4

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number rof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ame Underlying Secu (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	500	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	989	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	200	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	700	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	300	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	200	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	1,401	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	300	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	1,500	02/04/2001(2)	02/04/2010	COMMON STOCK
Non-Qualified Stock Option (right to buy)	\$ 24.46	11/16/2009		S	800	02/04/2001(2)	02/04/2010	COMMON STOCK

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SCHERER BARBARA VAUGHN 345 ENCINAL STREET SANTA CRUZ, CA 95060

CFO

Signatures

BARBARA VAUGHN SCHERER

11/18/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purpose of the disposition was to enable the reporting person to satisfy tax withholding obligations that arose upon the vesting of restricted stock award shares.
- Option was granted by the Issuer pursuant to a Rule 16-b plan and becomes exercisable as follows: 20% of the shares subject to the option vest 12 months from date of grant; and 1/60th of the shares subject to option vest each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3