#### PLANTRONICS INC /CA/

Form 4

February 15, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

Symbol

**OMB** Number:

3235-0287 January 31,

Expires:

5. Relationship of Reporting Person(s) to

Issuer

2005

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

0.5

**OMB APPROVAL** 

1(b).

**COMMON** 

**STOCK** 

02/13/2017

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KANNAPPAN S KENNETH

|                                      |                                |                 | PLANTR                                | ONICS                                | INC /CA                        | A/ [PL | T]             | (Check   | all applicable   | )   |
|--------------------------------------|--------------------------------|-----------------|---------------------------------------|--------------------------------------|--------------------------------|--------|----------------|--|--|---|
| (Last) 345 ENCINA                    | (First)                        | (Middle)        | 3. Date of E (Month/Day 02/13/20)     | y/Year)                              | ansaction                      |        |                | Director X Officer (give t clow)  EXECUTIVE  | 10% itle Othe below)                                     | Owner<br>r (specify                                   |
| CANTA CDI                            | (Street)                       | 0               | 4. If Amend<br>Filed(Month            |                                      |                                |        | $A_1$          | Individual or Join pplicable Line)  K_Form filed by Or Form filed by Mo  | ne Reporting Per   | rson  |
| SANTA CRI                            | UZ, CA 9506                    | U               |                                       |                                      |                                |        | Pe             | erson  |  |   |
| (City)                               | (State)                        | (Zip)           | Table !                               | I - Non-D                            | erivative S                    | Securi | ties Acquir    | ed, Disposed of,   | or Beneficiall   | y Owned   |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction<br>(Month/Day/Y | Year) Executany | eemed<br>tion Date, if<br>h/Day/Year) | 3.<br>Transact<br>Code<br>(Instr. 8) | 4. Securior(A) or D (Instr. 3) | ispose | d of (D)       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| COMMON<br>STOCK                      | 02/13/2017                     |                 |                                       | Code V                               | 7 Amount<br>519                | (D)    | Price \$ 36.67 | 105,757  | D  |   |
| COMMON<br>STOCK                      | 02/13/2017                     |                 |                                       | S                                    | 519                            | D      | \$ 54.16       | 105,238  | D  |   |
| COMMON<br>STOCK                      | 02/13/2017                     |                 |                                       | M                                    | 546                            | A      | \$ 36.67       | 105,784  | D  |   |
| COMMON<br>STOCK                      | 02/13/2017                     |                 |                                       | S                                    | 546                            | D      | \$ 54.17       | 105,238  | D  |   |

M

954

A

\$ 36.67 106,192

D

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| COMMON<br>STOCK | 02/13/2017 | S | 954   | D | \$ 54.18     | 105,238 | D |        |
|-----------------|------------|---|-------|---|--------------|---------|---|--------|
| COMMON<br>STOCK | 02/13/2017 | M | 1,142 | A | \$ 36.67     | 106,380 | D |        |
| COMMON<br>STOCK | 02/13/2017 | S | 1,142 | D | \$ 54.2      | 105,238 | D |        |
| COMMON<br>STOCK | 02/13/2017 | M | 600   | A | \$ 36.67     | 105,838 | D |        |
| COMMON<br>STOCK | 02/13/2017 | S | 600   | D | \$ 54.21     | 105,238 | D |        |
| COMMON<br>STOCK | 02/13/2017 | M | 100   | A | \$ 36.67     | 105,338 | D |        |
| COMMON<br>STOCK | 02/13/2017 | S | 100   | D | \$<br>54.225 | 105,238 | D |        |
| COMMON<br>STOCK | 02/13/2017 | M | 100   | A | \$ 36.67     | 105,338 | D |        |
| COMMON<br>STOCK | 02/13/2017 | S | 100   | D | \$ 54.26     | 105,238 | D |        |
| COMMON<br>STOCK |            |   |       |   |              | 200     | I | by Son |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | rivative rities ired rosed of . 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Ame<br>Underlying Secu<br>(Instr. 3 and 4) |
|---|---|--------------------------------------|---|---|-----|---------------------------------------|--|--------------------|---|
|   |   |                                      |   |   |     |                                       | Date Exercisable   | Expiration<br>Date | Title   |
|   |   |                                      |   | Code V  | (A) | (D)                                   |  |                    |   |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 36.67  | 02/13/2017                           |   | M   |     | 300                                   | 05/06/2012(1)  | 05/06/2018         | COMMON<br>STOCK   |

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| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 177   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
|---|----------|------------|---|-------|---------------|------------|-----------------|
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 100   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 138   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 23    | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 100   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 162   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 100   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 100   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 100   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 800   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 100   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 700   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 120   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 6,981 | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option                   | \$ 36.67 | 02/13/2017 | M | 519   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |

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| (right to buy)                                  |          |            |   |       |               |            |                 |
|---|----------|------------|---|-------|---------------|------------|-----------------|
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 546   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 954   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 1,142 | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 600   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 100   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 36.67 | 02/13/2017 | M | 100   | 05/06/2012(1) | 05/06/2018 | COMMON<br>STOCK |

# **Reporting Owners**

| Reporting Owner Name / Address | Kelationships |
|--------------------------------|---------------|
|--------------------------------|---------------|

Director 10% Owner Officer Other

KANNAPPAN S KENNETH 345 ENCINAL STREET SANTA CRUZ, CA 95060

**EXECUTIVE VICE CHAIRMAN** 

Date

## **Signatures**

By: Paul Katawicz, Attorney-in-fact For: S. Kenneth

\*\*Signature of Reporting Person

Kannappan 02/15/2017

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Option was granted by the Issuer pursuant to a Rule 16-b plan and becomes exercisable as follows: 33.3% of the shares subject to the option vest 12 months from date of grant; and 1/36th of the shares subject to option vest each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4