Hamel Matthew E Form 4 July 31, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Hamel Matthew E | | | 2. Issuer Name and Ticker or Trading Symbol BROWN FORMAN CORP [BFA, BFB] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|---|-------------|----------|--|---|--|--|--|
| (Last) 850 DIXIE HI | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 07/27/2017 | Director 10% Owner Other (specify below) below) EVP, Gen Counsel and Secretary | | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| LOUISVILLE | c, KY 40210 | | | Form filed by More than One Reporting Person | | | |

| (City) | (State) | (Zip) Tab | le I - Non-l | Derivative | e Secu | rities Acqu | ired, Disposed of | , or Beneficial | ly Owned |
|--------------------------------------|---|---|---|---|----------------|-----------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities A or(A) or Dispos (Instr. 3, 4 an | | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Class B Common | 07/31/2017 | | Code V | Amount 7,323 | or (D) A | Price \$ 17.755 | (Instr. 3 and 4) 24,256 | D | |
| Class B Common | 07/31/2017 | | F | 4,969 | D | \$ 50.03 (1) | 19,287 | D | |
| Class B Common | | | | | | | 11,756.1042 (2) | I | By 401k |
| Class B Common | | | | | | | 845.8134 (3) | I | ESPP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Ar Underlying Se (Instr. 3 and 4) |
|---|---|---|---|---------------------------------------|--|-------|--|--------------------|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title I |
| Stock Appreciation Right (4) | \$ 50.63 | 07/27/2017 | | A | 37,406 | | 05/01/2020 | 04/30/2027 | Class B Common |
| Stock Appreciation Right | \$ 17.755 | 07/31/2017 | | M | | 7,323 | 05/01/2011 | 04/30/2018 | Class B Common |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hamel Matthew E 850 DIXIE HIGHWAY LOUISVILLE, KY 40210

EVP, Gen Counsel and Secretary

Signatures

Michael E. Carr, Jr., Attorney in Fact for Matthew E. Hamel

07/31/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The closing price of BF-B on July 31, 2017 was used to calculate the withholding obligation.
- (2) Number of shares acquired through the issuer's 401(k) plan as of July 31, 2017.
- (3) Number of shares acquired through the issuer's employee stock purchase program as of July 31, 2017.
- (4) No money was paid to or received by the reporting person for these SSARs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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