Edgar Filing: BOUSQUET CHAVANNE PATRICK - Form 4

BOUSQUET CHAVANNE PAT Form 4 July 31, 2017	TRICK								
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB 3235-0287 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 32135-0287 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b) of the Investment Company Act of 1940 State Hours and the Hours and the Investment Company Act of 1940									
(Print or Type Responses) 1. Name and Address of Reporting Per BOUSQUET CHAVANNE PATRICK	Symbol	BROWN FORMAN CORP [BFA,			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Mid 850 DIXIE HIGHWAY	Idle) 3. Date of Earliest Transac (Month/Day/Year) 07/27/2017				X_ Director 10% Owner Officer (give title Other (specify below) below)				
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (State) (Zi	p) Table I - Non-Deriva	tive Securities Acq	uired, Disposed of,	, or Beneficial	ly Owned				
(Instr. 3)	Execution Date, if TransactionAct any Code Dis	quired (A) or sposed of (D) str. 3, 4 and 5) (A) or nount (D) Price	SecuritiesIBeneficially(iOwnedIFollowing(iReportedTransaction(s)(Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D					
Common			55,552 <u>~</u>	D					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: BOUSQUET CHAVANNE PATRICK - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquir (A) or Disposed of (D) (Instr. 3, 4, and 5)	of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) ((D)	Date Exercisable	Expiration Date	Title	Amount or Number or Shares
Deferred Stock Units	<u>(2)</u>	07/27/2017		А	2,200.5358		(3)	(3)	Class A Common	2,200.53
Deferred Stock Units	(5)						<u>(6)</u>	<u>(6)</u>	Class B Common	10,565.

Reporting Owners

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
BOUSQUET CHAVANNE PATRICK 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	Х			
Signatures				
Michael E. Carr, Jr., Attorney in Fact fo Bousquet-Chavanne	r Patrick			07/31/2017
<u>**</u> Signature of Reporting Po	erson			Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In August 2016, the Class A and Class B common stock of Brown-Forman Corporation split 2-1, resulting in the reporting person's acquisition of 26,666 additional shares.

Under the Brown-Forman Corporation Non-Employee Director Deferred Stock Unit Program, each DSU represents the right to receive one share of the Company's Class A common stock. Grants made on July 27, 2017, were based on the closing price of the Company's

- (2) Class A common stock on that date (\$52.26). On each dividend payment date, participants are credited with DSU equivalents, and the DSU total on this form has been updated to reflect such credits.
- (3) Annual grants of DSUs vest over the course of the Board year. DSUs are paid out in Class A common stock on the first February 1 that is at least six months following the Director's termination from Board service.
- (4) Updated to reflect the August 2016 stock split.

Under the Brown-Forman Corporation Non-Employee Director Deferred Stock Unit Program each DSU represents the right to receive(5) one share of the Company's Class B common stock. On each dividend payment date, participants are credited with DSU equivalents, and the DSU totals on this form have been updated to reflect such credits.

Annual grants of DSUs vest over the course of the Board year. DSUs are paid out in class B common stock on the first February 1 that is at least six months following the Director's termination from Board service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.