Hinrichs Thomas Form 3 June 21, 2018

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  Hinrichs Thomas (Last) (First) (Middle)  850 DIXIE HIGHWAY (Street)  LOUISVILLE, KY 40210		2. Date of Event R Statement (Month/Day/Year) 06/15/2018	BRC  4. Re Perso	3. Issuer Name and Ticker or Tradist BROWN FORMAN CORP [  4. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  Director 10% Owner Other (give title below) (specify below)  Pres., International Division					
(City)	(State)	(Zip)	Tal	ble I - Non-D	erivati	ive Securiti	ies Be	neficially Owned	
1.Title of Security (Instr. 4)	Ý		Ben	Amount of Secur neficially Owned str. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•	
Class A Comn	non		2,6	562		D	Â		
Class A Common				6.3471		I	DRIP		
Class B Common				3,180		D	Â		
Class B Comm	non		3.2	2636		I	DRI	P	
Reminder: Report on a separate line for each class of securities benefici owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displacurrently valid OMB control number.					SF	EC 1473 (7-02	2)		

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) 2. Date Exercisable and Expiration Date 3. Title and Amount of Securities Underlying Conversion Ownership Beneficial

#### Edgar Filing: Hinrichs Thomas - Form 3

	(Month/Day/Year)		Derivative Se (Instr. 4)	ecurity	or Exercise Price of	Form of Derivative	Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Appreciation Right	05/01/2012	04/30/2019	Class B Common	8,604	\$ 10.62	D	Â
Stock Appreciation Right	05/01/2013	04/30/2020	Class B Common	6,503	\$ 15.088	D	Â
Stock Appreciation Right	05/01/2014	04/30/2021	Class B Common	12,302	\$ 18.217	D	Â
Stock Appreciation Right	05/01/2015	04/30/2022	Class B Common	14,457	\$ 23.047	D	Â
Stock Appreciation Right	05/01/2016	04/30/2023	Class B Common	11,134	\$ 28.433	D	Â
Stock Appreciation Right	05/01/2017	04/30/2024	Class B Common	8,808	\$ 36.109	D	Â
Stock Appreciation Right	05/01/2018	04/30/2025	Class B Common	11,134	\$ 40.145	D	Â
Stock Appreciation Right	05/01/2019	04/30/2026	Class B Common	14,966	\$ 38.481	D	Â
Stock Appreciation Right	05/01/2020	04/30/2027	Class B Common	12,647	\$ 39.757	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
Hinrichs Thomas 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	Â	Â	Pres., International Division	Â		

## **Signatures**

Michael E. Carr, Jr., Attorney in Fact for Thomas Hinrichs 06/21/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).