

TORTOISE PIPELINE & ENERGY FUND, INC.

Form 3

December 06, 2011

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *PALMER SQUARE
CAPITAL MANAGEMENT,
LLC

(Last) (First) (Middle)

ONE WARD PARKWAY,
SUITE 126,Â

(Street)

KANSAS CITY,Â MOÂ 64112

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
10/31/20113. Issuer Name **and** Ticker or Trading Symbol

TORTOISE PIPELINE & ENERGY FUND, INC. [TTP]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☐ Officer ☒ Other
(give title below) (specify below)
Affiliate of Inv Advisor5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☐ Form filed by One Reporting
Person
☒ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Shares

0

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Date Exercisable	Expiration Date	Title (Instr. 4)	Amount or Number of Shares	Price of Derivative Security	Derivative
					Security: Direct (D) or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PALMER SQUARE CAPITAL MANAGEMENT, LLC ONE WARD PARKWAY, SUITE 126 KANSAS CITY, MO 64112	Â	Â	Â	Affiliate of Inv Advisor
PALMER SQUARE CAPITAL ADVISORS LLC ONE WARD PARKWAY, SUITE 126 KANSAS CITY, MO 64112	Â	Â	Â	Affiliate of Inv Advisor
Cohen Financial, L.P. TWO NORTH LASALLE STREET SUITE 800 CHICAGO, IL 60602	Â	Â	Â	Affiliate of Inv Advisor
NATIONS GROUP ADVISORS, LLC ONE WARD PARKWAY SUITE 126 KANSAS CITY, MO 64112	Â	Â	Â	Affiliate of Inv Advisor
Mariner Real Estate Partners II, LLC 4200 WEST 115TH STREET SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
MARINER REAL ESTATE PARTNERS III, LLC 4200 WEST 115TH STREET SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
MARINER REAL ESTATE PARTNERS III A, LLC 4200 WEST 115TH STREET SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
MONTAGE SECURITIES, LLC 4200 W 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
Cohen Realty Services, Inc. TWO NORTH LASALLE STREET SUITE 800 CHICAGO, IL 60602	Â	Â	Â	Affiliate of Inv Advisor
Cohen Financial Equities LLC TWO NORTH LASALLE STREET	Â	Â	Â	Affiliate of Inv Advisor

Date _____