TAURIGA SCIENCES, INC.

Form 3 July 13, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Lacey Kevin Patrick

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

07/05/2017

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

Chief Financial Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O TAURIGA SCIENCES. INC., 39 OLD RIDGEBURY ROAD

(Street)

Director \_X\_\_ Officer

10% Owner Other

TAURIGA SCIENCES, INC. [TAUG]

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

DANBURY, CTÂ 06180

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D)

or Indirect

(Instr. 5)

(I) (Instr. 5)

Common Stock

 $20,000,000 \stackrel{(1)}{=}$ 

Â D

Common Stock

 $3,000,000^{(2)}$ 

Ι See footnote (2)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion or Exercise

Ownership

6. Nature of Indirect Beneficial Ownership

Derivative Security Form of (Instr. 5)

#### Edgar Filing: TAURIGA SCIENCES, INC. - Form 3

Price of Derivative (Instr. 4) Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of or Indirect Shares (I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Lacey Kevin Patrick
C/O TAURIGA SCIENCES, INC. 39 OLD RIDGEBURY ROAD

Relationships
Other

A Â Chief Financial Officer Â

# **Signatures**

DANBURY, CTÂ 06180

/s/ Kevin P.
Lacey

\*\*Signature of Reporting Person

O7/13/2017

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Registrant has agreed to issue the Reporting Person 20,000,000 of shares of Common Stock in partial consideration of the Reporting (1) Person's service as the Registrant's Chief Financial Officer. These shares have not been issued as of the date hereof. All 20,000,000 shares of Common Stock will be "restricted securities" as defined by Rule 144 promulgated under the Securities Act of 1933, as amended.
- These 3,000,000 shares of Common Stock were previously issued to Mariner Consulting Group, Inc. for accounting services previously provided to the Registrant. The Reporting Person is the sole shareholder and has voting control of Mariner Consulting Group, Inc. All 3,000,000 shares of Common Stock are "restricted securities" as defined by Rule 144 promulgated under the Securities Act of 1933, as

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2