Ting Edmund Y Form 4 July 20, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

Ting Edmund Y

(Last)

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Symbol

PRESSURE BIOSCIENCES INC

[PBIO]

23642 123RD PLACE SE

(First)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

07/18/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below)

Senior VP of Engineering

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

KENT, WA 48031

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if

(Middle)

3. 4. Securities Code (Month/Day/Year) (Instr. 8)

TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

5. Number of 4. **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Underlying (Instr. 3 and

# Edgar Filing: Ting Edmund Y - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
									G
Incentive Stock Option	\$ 3.4	07/18/2018		A	5,500		08/18/2018(1)	07/18/2028	Common Stock
Incentive Stock Option	\$ 30	07/18/2018(2)		D		400	(2)	09/26/2018	Common Stock
Incentive Stock Option	\$ 3.4	07/18/2018(2)		A	400		(2)	07/18/2028	Common Stock
Incentive Stock Option	\$ 18	07/18/2018(2)		D		1,400	(2)	03/13/2019	Common Stock
Incentive Stock Option	\$ 3.4	07/18/2018(2)		A	1,400		<u>(2)</u>	07/18/2028	Common Stock
Incentive Stock Option	\$ 30	07/18/2018(2)		D		500	<u>(2)</u>	09/12/2021	Common Stock
Incentive Stock Option	\$ 3.4	07/18/2018(2)		A	500		(2)	07/18/2028	Common Stock
Incentive Stock Option	\$ 18	07/18/2018(2)		D		584	(2)	03/14/2022	Common Stock
Incentive Stock Option	\$ 3.4	07/18/2018(2)		A	584		<u>(2)</u>	07/18/2028	Common Stock
Incentive Stock Option	\$ 12	07/18/2018(2)		D		1,800	(2)	05/15/2023	Common Stock
Incentive Stock Option	\$ 3.4	07/18/2018(2)		A	1,800		<u>(2)</u>	07/18/2028	Common Stock
Incentive Stock Option	\$ 9	07/18/2018(2)		D		6,667	<u>(2)</u>	09/25/2024	Common Stock
Incentive Stock Option	\$ 3.4	07/18/2018(2)		A	6,667		<u>(2)</u>	07/18/2028	Common Stock
Incentive Stock Option	\$ 12	07/18/2018(2)		D		4,334	(2)	01/01/2026	Common Stock
Incentive Stock Option	\$ 3.4	07/18/2018(2)		A	4,334		<u>(2)</u>	07/18/2028	Common Stock
Non-Qualified Stock Option	\$ 8.4	07/18/2018(2)		D		5,500	(2)	03/17/2027	Common Stock
Non-Qualified Stock Option	\$ 3.4	07/18/2018(2)		A	5,500		(2)	07/18/2028	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ting Edmund Y

23642 123RD PLACE SE Senior VP of Engineering

KENT, WA 48031

## **Signatures**

/s/ Edmund Y Ting 07/20/2018

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Incentive options vest 1/36th per month for 36 months, effective on the day of grant.
  - The reported transactions involved an amendment of an outstanding stock option, resulting in the deemed cancellation of the old stock
- (2) option and the grant of a replacement stock option, which includes a new exercise price of \$3.40 and a new expiration date of July 18, 2028.
- (3) 2005 Equity Incentive Pan.
- (4) 2013 Equity Incentive Pan.
- (5) 2015 Nonqualified Stock Option Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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