Advanced Emissions Solutions, Inc. Form 3 May 30, 2014 FORM 3 UNITED STATE

### **3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

### OMB APPROVAL OMB 3235-0104 Number: January 31, Expires: 2005

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(Print or Type Responses)

1. Name and Add SIMONTO	•	2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol Advanced Emissions Solutions, Inc. [ADES]								
(Last)	(First)	(Middle)	(Monul/Day/ 05/21/2014		4. Relationship of Reporting Person(s) to Issuer			endment, Date Original onth/Day/Year)				
5170 SOUTH	HANOVEF	R WAY						,	•			
	(Street)				(Check all applicable) 6. Individual c			dual or Joint/Group				
					_X_ Direc		10% Owner	_X_ Forn	neck Applicable Line) In filed by One Reporting			
ENGLEWOOI	D, CO 8	0111-6239			Offic (give title be		Other (specify below	Person w) <u> </u>	filed by More than One Person			
(City)	(State)	(Zip)	1	Fable I - No	on-Deriva	ative	Securitie	s Beneficia	Beneficially Owned			
1.Title of Security (Instr. 4)	,		Be	Amount of Se eneficially Ow hstr. 4)		Forn Dire	nership O n: (In ect (D) ndirect	Nature of Indi wnership 1str. 5)	rect Beneficial			
Reminder: Report owned directly or	-	line for each c	lass of securiti	es beneficially	si Si	EC 14	473 (7-02)					
Tah	informati required currently	on containe to respond to valid OMB of	d to the colle d in this forr unless the fo control numl s Beneficially	m are not orm displays ber.		warr	ants, option	s. convertible	securities)			
					<b>F</b> ,,		, • <b>F</b>		,			
1. Title of Derivative Security 2. Date Exercise   (Instr. 4) Expiration Date   (Month/Day/Year) Expiration Date		ate				4. Conversion or Exercise Price of Derivative	1	6. Nature of Indirect Beneficial Ownership (Instr. 5)				
		Date Exercisable	Expiration Date	Title	Amoun Numbe		Security	Direct (D) or Indirect				

Shares

1

(I)

(Instr. 5)

Stock Option (right to	<b>â</b> (1)	05/21/2019 Common Stock	10,000	\$ 19.28	D	â
buy)	$A \xrightarrow{(i)}$	Stock	10,000	\$ 19.20	D	A

# **Reporting Owners**

<b>Reporting Owner Name / Add</b>	Relationships					
		Director	10% Owner	Officer	Other	
SIMONTON TAYLOR 5170 SOUTH HANOVER WAY ENGLEWOOD, CO 80111-6239		ÂX	Â	Â	Â	
Signatures						
J. Taylor 05 Simonton	5/30/201	4				

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received a grant of 10,000 options to purchase shares of common stock on May 21, 2014. On each annual vesting date, beginning on May 21, 2015 and ending May 21, 2017, one-third, or 3,334 of the options will vest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.