

IMMUCELL CORP /DE/

Form 4

July 07, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
CRABB JOSEPH H

(Last) (First) (Middle)

**C/O IMMUCELL
CORPORATION, 56 EVERGREEN
DRIVE**

(Street)

PORTLAND, ME 04103

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
IMMUCELL CORP /DE/ [ICCC]

3. Date of Earliest Transaction
(Month/Day/Year)
07/07/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)
VP & Chief Scientific Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction(A) Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.10 per share	07/06/2016	07/06/2016	S	900	D \$ 6.6	108,837	D
Common Stock, par value \$0.10 per share	07/06/2016	07/06/2016	S	300	D \$ 6.585	108,537	D
	07/06/2016	07/06/2016	S	300	D \$ 6.58	108,237	D

Common
Stock, par
value
\$0.10 per
share

Common
Stock, par
value
\$0.10 per
share

07/06/2016	07/06/2016	S	300	D	\$ 6.57	107,937	D
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Common
Stock, par
value
\$0.10 per
share

07/06/2016	07/06/2016	S	326	D	\$ 6.5701	107,611	D
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Common
Stock, par
value
\$0.10 per
share

07/06/2016	07/06/2016	S	300	D	\$ 6.59	107,311	D
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Common
Stock, par
value
\$0.10 per
share

07/07/2016	07/07/2016	S	6	D	\$ 6.59	107,305	D
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Common
Stock, par
value
\$0.10 per
share

07/07/2016	07/07/2016	S	200	D	\$ 6.53	107,105	D
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Common
Stock, par
value
\$0.10 per
share

07/07/2016	07/07/2016	S ⁽¹⁾	59	D	\$ 6.55	107,046	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. Number of Derivatives
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Securities (Instr. 3 and 4)	(Instr. 5)	Bene Own Follo Repo Trans (Instr
Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
CRABB JOSEPH H C/O IMMUCELL CORPORATION 56 EVERGREEN DRIVE PORTLAND, ME 04103	VP & Chief Scientific Officer

Signatures

/s/Michael F Brigham
Attorney-in-fact
07/07/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported herein have been effectuated pursuant to a plan implemented by the reporting person pursuant to Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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