Faria Joao V Form 3/A June 19, 2018

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Eaton Corp plc [ETN] Faria Joao V (Month/Day/Year) 05/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1000 EATON BLVD. 01/17/2018 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer \_Other (give title below) (specify below) CLEVELAND, OHÂ 44122 Form filed by More than One See Remarks below. Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â **Ordinary Shares** 50,283 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	02/24/2016(2)	(3)	Ordinary Shares	606	\$ 0 (4)	D	Â
Restricted Stock Units	02/23/2017(5)	(3)	Ordinary Shares	1,572	\$ 0 (4)	D	Â
Restricted Stock Units	06/15/2017(6)	(3)	Ordinary Shares	3,465	\$ 0 (4)	D	Â
Restricted Stock Units	02/21/2018(7)	(3)	Ordinary Shares	1,715	\$ 0 (4)	D	Â
Restricted Stock Units	02/23/2019(8)	(3)	Ordinary Shares	5,860	\$ 0 (4)	D	Â
Stock Option	02/25/2015(9)	02/25/2024	Ordinary Shares	6,000	\$ 75.36	D	Â
Stock Option	02/24/2016(10)	02/24/2025	Ordinary Shares	8,150	\$ 71.72	D	Â
Stock Option	02/23/2017(11)	02/23/2026	Ordinary Shares	8,476 (12)	\$ 56.55	D	Â
Stock Option	02/21/2018(13)	02/21/2027	Ordinary Shares	8,600	\$ 71.89	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Faria Joao V 1000 EATON BLVD. CLEVELAND, OH 44122	Â	Â	See Remarks below.	Â		

# **Signatures**

/s/ Lizbeth L. Wright, as Attorney-in-Fact 06/19/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 3,465 additional shares subject to a restricted share award that were inadvertently omitted from the reporting person's original Form 3.
- These restricted stock units were granted on February 24, 2015 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (3) This field is not applicable.
- (4) Each restricted stock unit represents a contingent right to receive one common share of the issuer.

Reporting Owners 2

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- (5) These restricted stock units were granted on February 23, 2016 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- These restricted stock units were granted on June 15, 2015 and vest as follows: 30% on the second and third anniversaries of the grant date and 40% on the fourth anniversary of the grant date.
- (7) These restricted stock units were granted on February 21, 2017 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (8) These restricted stock units vest on the third anniversary of the grant date.
- (9) These options were granted on February 25, 2014, and are fully vested and exercisable.
- (10) These options were granted on February 24, 2015, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.
- (11) These options were granted on February 23, 2016, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.
- (12) This amount has been updated to reflect options unexercised and unvested.
- (13) These options were granted on February 21, 2017, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.

#### Â

#### **Remarks:**

President-Vehicle Group of Eaton Corporation, a subsidiary of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.