

CTI INDUSTRIES CORP

Form 3

August 14, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Schwan Jana M

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/13/2012

3. Issuer Name and Ticker or Trading Symbol
CTI INDUSTRIES CORP [CTIB]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

22160 NORTH PEPPER ROAD

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Vice President of Procurement

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

BARRINGTON, IL 60010

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

3,000

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Incentive Stock Option	12/30/2011	12/30/2015	Common Stock	500	\$ 5.97	D	Â
Incentive Stock Option	12/30/2012	12/30/2015	Common Stock	500	\$ 5.97	D	Â
Incentive Stock Option	12/30/2013	12/30/2015	Common Stock	500	\$ 5.97	D	Â
Incentive Stock Option	12/30/2014	12/30/2015	Common Stock	500	\$ 5.97	D	Â
Incentive Stock Option	12/30/2005	12/30/2015	Common Stock	2,000	\$ 2.88	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Schwan Jana M 22160 NORTH PEPPER ROAD BARRINGTON, IL 60010	Â	Â	Â	Vice President of Procurement	Â

Signatures

Jonathan K. Miller, Attorney in Fact for Jana M. Schwan
08/14/2012

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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