HP INC Form 4 July 13, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * WHITMAN MARGARET C | | | uer Name a nd ol NC [HPQ] | nd Ticker or Trading | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|---|------------------|--|--|---|---|--|--|
| (Last) | (First) | (Middle) 3. Date | e of Earliest | Transaction | (0.000) | шт пррисцете, | , | |
| C/O HP INC., 1501 PAGE MILL ROAD | | | h/Day/Year) /2017 | | Director Officer (give ti | | Owner r (specify | |
| (Street) | | | mendment, l | Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| PALO AL | TO, CA 94304 | Filed(N | Month/Day/Ye | ear) | Applicable Line) _X_ Form filed by Or Form filed by Mo Person | ne Reporting Per | rson | |
| (City) | (State) | (Zip) T | able I - Non | -Derivative Securities Acq | uired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Code | 4. Securities Acquired (A) orDisposed of (D) (Instr. 3, 4 and 5) | or 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect | 7. Nature Indirect Benefici Ownersh (Instr. 4) | |

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. 4. Securities Acquired (A) or TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|--------------------------------------|---|---|--------|----------------|-----------|--|---|---|--|
| | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| Common Stock | 07/11/2017 | | M | 147,976 | A | \$ 6.4 | 618,865 | D | |
| Common Stock | 07/11/2017 | | S | 147,976 (1) | D | \$ 17.9182 (2) | 470,889 | D | |
| Common Stock | 07/12/2017 | | M | 147,976 | A | \$ 6.4 | 618,865 | D | |
| Common Stock | 07/12/2017 | | S | 147,976 (1) | D | \$ 18.1202 (3) | 470,889 | D | |
| | | | | | | | 66 <u>(4)</u> | I | |

Common By
Stock Living
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of Date Exercisable and Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 7. Title and A Underlying So (Instr. 3 and 4 | ec | |
|---|---|--------------------------------------|---|--|--|-----------------------|--|-----------------|--------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | A N Si |
| Employee Stock Option (right to buy) | \$ 6.4 | 07/11/2017 | | M | 147,976 | 09/17/2015 <u>(5)</u> | 12/05/2020 <u>(6)</u> | Common Stock | 1 |
| Employee Stock Option (right to buy) | \$ 6.4 | 07/12/2017 | | M | 147,976 | 09/17/2015 <u>(5)</u> | 12/05/2020 <u>(6)</u> | Common Stock | 1 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |

WHITMAN MARGARET C C/O HP INC. 1501 PAGE MILL ROAD PALO ALTO, CA 94304

Signatures

Katie Colendich as Attorney-in-Fact for Margaret C.
Whitman

07/13/2017

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on 3/31/2017.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$17.78-\$18.00, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.08-\$18.225, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) There is no reportable change since the last filing. This is a reiteration of holdings only.
- (5) This option became exercisable beginning on this date.
- (6) This option is no longer exercisable following this date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.