Gorsky Alex Form 4 January 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

10% Owner

Other (specify

Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person *

Gorsky Alex

2. Issuer Name and Ticker or Trading

Symbol

INTERNATIONAL BUSINESS MACHINES CORP [IBM]

3. Date of Earliest Transaction (Month/Day/Year)

12/29/2017

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(First) C/O SECRETARY'S OFFICE, IBM

CORPORATION, NEW ORCHARD

ROAD

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

ARMONK, NY 10504

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Middle)

Code (Month/Day/Year) (Instr. 8)

3.

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Gorsky Alex - Form 4

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. 5. Number Transaction of | | | 6. Date Exercisable and Expiration Date | | 7. Title and Amount of Underlying Securities | | 8. Price |
|-------------|----------------|---------------------|--------------------|-----------------------------|------------|-------|---|------------|--|--------|-----------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | | | | | | | | Derivati |
| Security | or Exercise | | any | Code | Derivative | | (Month/Day/Year) | | (Instr. 3 and 4) | | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | | | | | | | (Instr. 5 |
| | Derivative | | | | Acquir | ed | | | | | |
| | Security | | | | (A) or | | | | | | |
| | | | | | Dispos | ed | | | | | |
| | | | | | of (D) | | | | | | |
| | | | | | (Instr. 3 | 3, 4, | | | | | |
| | | | | | and 5) | | | | | | |
| | | | | Code V | (A) | (D) | Date | Expiration | Title | Amount | |
| | | | | | () | (-) | Exercisable | Date | | or | |
| | | | | | | | | | | Number | |
| | | | | | | | | | | of | |
| | | | | | | | | | | Shares | |
| | | | | | | | | | | | |
| Promised | \$ 0 (1) | 12/29/2017 | | $A^{(2)}$ | 489 | | (3) | (3) | Common | 489 | \$ 153. |
| Fee Share | φ U <u>···</u> | 12/29/2017 | | A <u>(2)</u> | 409 | | (5) | (0) | Stock | 409 | ф 133. |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gorsky Alex C/O SECRETARY'S OFFICE, IBM CORPORATION NEW ORCHARD ROAD ARMONK, NY 10504

Signatures

A. Gomes da Silva on behalf of A. Gorsky

01/02/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2