Schlater Benjamin Form 4 February 22, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction 1(b).

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * Schlater Benjamin

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

FERRO CORP [FOE] 3. Date of Earliest Transaction

Director 10% Owner

(Check all applicable)

Chief Financial Officer

OMB APPROVAL

3235-0287

January 31,

Beneficial

Ownership

(Instr. 4)

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

6060 PARKLAND BLVD, SUITE

(Street)

(First)

02/20/2019

(Month/Day/Year)

Other (specify Officer (give title below) below)

250

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect (I) Owned Following (Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Common Stock

(Instr. 3)

Code V Amount (D) Price

19,407 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Schlater Benjamin - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Secu
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ar Nu Sh
Performance Share Unit	\$ 0	02/20/2019		A	20,700	<u>(1)</u>	12/31/2021	Common Stock	
Restricted Stock Unit	\$ 0	02/20/2019		A	8,300	02/20/2022(2)	02/20/2022	Common Stock	
Stock Options (Right to Buy)	\$ 17.89	02/20/2019		A	31,800	(3)	02/20/2029	Common Stock	
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2018	Common Stock	
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2019	Common Stock	
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2020	Common Stock	
Phantom Shares	\$ 0 (4)					<u>(4)</u>	<u>(4)</u>	Common Stock	6,
Restricted Share Unit	\$ 0					09/01/2019(2)	09/01/2019	Common Stock	
Restricted Share Unit	\$ 0					02/15/2020(2)	02/15/2020	Common Stock	
Restricted Share Unit	\$ 0					02/21/2021(2)	02/21/2021	Common Stock	
Stock Options (Right to Buy)	\$ 22.01					(5)	02/21/2028	Common Stock	
Stock Options (Right to Buy)	\$ 14.27					02/15/2018	02/15/2027	Common Stock	
Stock Options (Right to Buy)	\$ 13.35					09/01/2017	09/01/2026	Common Stock	

Stock

Buy)

Options \$ 9.6 (Right to

02/17/2017 02/17/2026

Common Stock

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer 10% Owner Other Director

> > Chief

Financial

Officer

Schlater Benjamin 6060 PARKLAND BLVD **SUITE 250** MAYFIELD HEIGHTS, OH 44124

Signatures

/s/ Benjamin Schlater, Group Vice President and Chief Financial Officer, by Power of Attorney

02/22/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of (1) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
- The date reflected above as the Date Exercisable is the scheduled vesting date of the Restricted Share Units. Once vested, settlement of the Restricted Share Units and delivery of common shares is subject to an additional two-year holding period.
- (3) 33+% per year for 3 years beginning on 20-Feb-2020
- (4) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
- (5) 33+% per year for 3 years beginning on 21-Feb-2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3