Edgar Filing: UNIVEST CORP OF PENNSYLVANIA - Form 5

UNIVEST CORP OF PENNSYLVANIA

securities beneficially owned directly or indirectly.

Form 5

January 13, 2017

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Keim Michael S Symbol UNIVEST CORP OF (Check all applicable) PENNSYLVANIA [UVSP] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner _ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2016 Senior EVP 52 CATHLEEN DR. (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) RICHBORO, Â PAÂ 18945 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting (State) (City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. 7. Nature of Transaction Ownership Indirect Security (Month/Day/Year) Execution Date, if Acquired (A) or Securities (Instr. 3) Code Disposed of (D) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end of (D) or Ownership Issuer's Fiscal Indirect (I) (Instr. 4) (A) Year (Instr. 4) or (Instr. 3 and 4) (D) Price Amount 29,441.3512 Â Â Â Â Â Â Â Common D (1)(2)Persons who respond to the collection of information Reminder: Report on a separate line for each class of SEC 2270

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

contained in this form are not required to respond unless

the form displays a currently valid OMB control number.

(9-02)

Edgar Filing: UNIVEST CORP OF PENNSYLVANIA - Form 5

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) of Disp of (E) (Inst	Number Expiration Date		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 16.88	Â	Â	Â	Â		01/31/2015	01/31/2023	Common	667
Incentive Stock Options (Right to Buy)	\$ 18.78	Â	Â	Â	Â	Â	01/31/2016	01/31/2024	Common	1,333
Incentive Stock Options (Right to Buy)	\$ 18.52	Â	Â	Â	Â	Â	01/31/2017	01/31/2025	Common	4,500
Incentive Stock Options (Right to Buy)	\$ 19.68	Â	Â	Â	Â	Â	01/31/2017	01/31/2026	Common	7,415
Non Qualified Stock Options (Right to Buy)	\$ 19.68	Â	Â	Â	Â	Â	01/31/2017	01/31/2026	Common	15

Reporting Owners

Reporting Owner Name / Address	Relationships							
• 9	Director	10% Owner	Officer	Other				
Keim Michael S	Â	Â	Senior EVP	Â				
52 CATHLEEN DR.								

Reporting Owners 2

Edgar Filing: UNIVEST CORP OF PENNSYLVANIA - Form 5

RICHBORO, Â PAÂ 18945

Signatures

Michael S. Keim 01/13/2017

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DOES INCLUDE 11,724.3512 SHARES ACQUIRED ON OR AFTER AUGUST 15, 1996 THROUGH THE DIVIDEND REINVESTMENT PLAN AND EMPLOYEE STOCK PURCHASE PLAN.
- (2) DOES INCLUDE 13,090 RESTRICTED SHARES SUBJECT TO VESTING.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3