### Edgar Filing: INFINERA CORP - Form 3

**INFINERA CORP** Form 3 March 03, 2014

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Feller Brad

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

02/26/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

INFINERA CORP [INFN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O INFINERA CORPORATION, Â 140 CASPIAN COURT

(Street)

(Check all applicable)

Chief Financial Officer

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SUNNYVALE, CAÂ 94089

(State)

(City)

(Instr. 4)

1. Title of Security

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Date

Exercisable

3. Title and Amount of Securities Underlying Derivative Security

4. 5 Ownership Conversion or Exercise Form of Price of

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

(Instr. 4)

Expiration

Date

Title Amount or Number of

Derivative Derivative Security: Security Direct (D)

1

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				Shares		or Indirect (I) (Instr. 5)	
Incentive Stock Option (Right to Buy)	(1)	01/13/2021	Common Stock	24,107	\$ 9.02	D	Â
Non-Qualified Stock Option (Right to Buy)	(1)	01/13/2021	Common Stock	893	\$ 9.02	D	Â
Restricted Stock Units (2)	(3)	(3)	Common	166,297	\$ 0	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Feller Brad C/O INFINERA CORPORATION 140 CASPIAN COURT SUNNYVALE, CA 94089	Â	Â	Chief Financial Officer	Â		

## **Signatures**

/s/ Alastair A. Short, by Power of Attorney

03/03/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests and becomes exercisable as to 1/4th of the underlying shares on January 13, 2015 and then 1/48th per month thereafter.
- (2) Each restricted stock unit ("RSU") represents a contingent right to receive one share of INFN common stock.
- (3) The RSUs vest in four annual installments beginning on February 5, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2