

Taylor-Simpson Kathleen  
Form 3  
October 02, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Taylor-Simpson Kathleen  
(Last) (First) (Middle)

2121 ROSECRANS  
AVENUE,Â SUITE 6300

(Street)

EL SEGUNDO,Â CAÂ 90245

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
10/01/2018

3. Issuer Name **and** Ticker or Trading Symbol  
A-Mark Precious Metals, Inc. [AMRK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer \_\_\_ Other  
(give title below) (specify below)  
EVP, Controller

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect (I)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Stock Options (right to buy)	Â <u>(1)</u>	08/30/2028	Common Stock, par value \$0.01 per share	2,500	\$ 13.19	D	Â
Stock Options (right to buy)	Â <u>(2)</u>	10/02/2027	Common Stock, par value \$0.01 per share	2,500	\$ 16.52	D	Â
Stock Options (right to buy)	Â <u>(3)</u>	01/26/2027	Common Stock, par value \$0.01 per share	10,000	\$ 19.1	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Taylor-Simpson Kathleen 2121 ROSECRANS AVENUE SUITE 6300 EL SEGUNDO, CA 90245	Â	Â	Â EVP, Controller	Â

## Signatures

/s/ Kathleen  
Simpson-Taylor

10/01/2018

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The options vest 33.3% per year on August 30, 2019, 2020, and 2021.

(2) The option vest 33.3% per year on October 2, 2018, 2019 and 2020.

(3) The option vest 33.3% per year on January 26, 2018, 2019 and 2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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