

TRICO BANCSHARES /  
Form 4/A  
June 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
OSULLIVAN RICHARD B

(Last) (First) (Middle)

63 CONSTITUTION DRIVE

(Street)

CHICO, CA 95928

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
TRICO BANCSHARES / [TCBK]

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/23/2016

4. If Amendment, Date Original Filed(Month/Day/Year)  
05/25/2016

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_ Other (specify below)

EVP Wholesale Banking

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)<br>Code V Amount (D) Price                             |   |  |   |
| Common Stock                    | 05/23/2016                           |  | S <sup>(1)</sup>               | 900 D \$ 27.14  | 176,514.22  | D  |   |
| Common Stock                    | 05/24/2016                           |  | S <sup>(1)</sup>               | 5,000 D \$ 27.8   | 171,514.22  | D  |   |
| Common Stock                    | 05/24/2016                           |  | S <sup>(1)</sup>               | 4,100 D \$ 27.14  | 167,414.22  | D  |   |
| Common Stock                    | 05/25/2016                           |  | S <sup>(1)</sup>               | 5,000 D \$ 27.78  | 162,414.22  | D  |   |
| Common Stock                    | 05/25/2016                           |  | S <sup>(1)</sup>               | 5,000 D \$ 27.8038  | 157,414.22  | D  |   |

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|              |            |                     |       |   |            |            |   |         |
|--------------|------------|---------------------|-------|---|------------|------------|---|---------|
| Common Stock | 05/25/2016 | S <sup>(1)</sup>    | 5,000 | D | \$ 27.8882 | 152,414.22 | D |         |
| Common Stock | 06/09/2016 | J <sup>(1)(2)</sup> | 0     | A | \$ 0       | 43,344.2   | I | By ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                       |       |
|---|---------------|-----------|-----------------------|-------|
|   | Director      | 10% Owner | Officer               | Other |
| OSULLIVAN RICHARD B<br>63 CONSTITUTION DRIVE<br>CHICO, CA 95928 |               |           | EVP Wholesale Banking |       |

## Signatures

/S/ Richard O'Sullivan By Ryan Bryson  
Attorney-in-Fact  
06/09/2016  
Date

\_\_Signature of Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amended form is filed solely to report the correct total beneficial ownership of common stock reported in Table I.
- (2) No transaction occurred among these shares. Intended only to reflect number of shares beneficially owned.

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