Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

COMMUNITY TRUST BANCORP INC /KY/

Form 4

August 25, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

OMB APPROVAL

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per 0.5 response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * GOOCH MARK A			2. Issuer Name and Ticker or Trading Symbol COMMUNITY TRUST BANCORP	5. Relationship of Reporting Person(s) to Issuer		
			INC /KY/ [CTBI]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	Director 10% Owner		
PO BOX 2947			(Month/Day/Year) 08/23/2016	X Officer (give title Other (specify below) EVP & Treasurer		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
PIKEVILLE,	KY 41502-2	2947	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

PIKEVILLE, KY 41502-2947				Person						
(City)	(State)	(Zip) Ta	ble I - N	Non-	-Derivative S	ecuriti	es Acquire	ed, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Instr.	8)	4. Securities on Disposed of (Instr. 3, 4 and Amount	(D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/01/2016		J <u>(1)</u>			A		19,585.3011	D	
Common Stock	07/01/2016		J <u>(1)</u>	V	161.0811	A	\$ 34.17	19,746.3822	D	
Common Stock	08/23/2016		M		9,532	A	\$ 35.409	29,278.3822	D	
Common Stock	08/25/2016		S		9,532	D	\$ 37.185	19,746.3822	D	
Common Stock								14,811.3809	I	By ESOP

Common Stock

16,831.8374 I

By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number coof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. D So (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (2)	\$ 35.409 (3)	08/23/2016		M	2,383 (3)	01/23/2008	01/23/2017	Common Stock	2,383 (3)	\$
Option (2)	\$ 35.409 (3)	08/23/2016		M	2,383 (3)	01/23/2009	01/23/2017	Common Stock	2,383 (3)	\$
Option (2)	\$ 35.409 (3)	08/23/2016		M	2,383 (3)	01/23/2010	01/23/2017	Common Stock	2,383 (3)	\$
Option (2)	\$ 35.409 (3)	08/23/2016		M	2,383 (3)	01/23/2011	01/23/2017	Common Stock	2,383 (3)	\$
Option (4)	\$ 25.745 (5)					01/29/2013	01/29/2018	Common Stock	5,500 (5)	

Reporting Owners

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
GOOCH MARK A			EVP &				
PO BOX 2947			2 , 1 00				
PIKEVILLE KY 41502-2947			Treasurer				

Reporting Owners 2

Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

Signatures

Mark A. Gooch By: Marilyn T. Justice, Attorney-in-Fact

08/25/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Community Trust Bancorp, Inc. Dividend Reinvestment Plan Shares
- (2) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan)
- (3) Option previously reported as covering 2,166.25 shares @\$38.95 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (4) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan)
- (5) Option previously reported as covering 5,000 shares @\$28.32 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3