

HALLIBURTON CO  
Form 4  
March 04, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LESAR DAVID J**

(Last) (First) (Middle)

**3000 N. SAM HOUSTON  
PARKWAY E.**

(Street)

**HOUSTON, TX 77032**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**HALLIBURTON CO [HAL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**02/28/2014**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chairman, Pres. and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                                |
| Common<br>Stock                       | 02/28/2014                              |                                                             | M                                    |                                                                         | 20,000                                                                                                             | A<br>\$<br>32.39                                                     | 644,196.21 D                                                                   |
| Common<br>Stock                       | 02/28/2014                              |                                                             | S                                    |                                                                         | 20,000<br>(1)                                                                                                      | D<br>\$ 57                                                           | 624,196.21 D                                                                   |
| Common<br>Stock                       | 03/03/2014                              |                                                             | S                                    |                                                                         | 20,000<br>(2)                                                                                                      | D<br>\$<br>56.35<br>(3)                                              | 18,308.14 I By Spouse                                                          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                  |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|----------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                    | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount of<br>Number of<br>Shares |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 32.39                                                           | 02/28/2014                              |                                                             | M                                    | 20,000<br>(4)                                                                                                | 12/07/2005                                                     | 12/07/2015         | Common<br>Stock                                                     | 80,000                           |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 50.62                                                           |                                         |                                                             |                                      |                                                                                                              | 12/04/2013                                                     | 12/04/2023         | Common<br>Stock                                                     | 137,900                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 33.17                                                           |                                         |                                                             |                                      |                                                                                                              | 12/06/2006                                                     | 12/06/2016         | Common<br>Stock                                                     | 198,699                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 33.5                                                            |                                         |                                                             |                                      |                                                                                                              | 12/05/2012                                                     | 12/05/2022         | Common<br>Stock                                                     | 208,900                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 35.57                                                           |                                         |                                                             |                                      |                                                                                                              | 12/06/2011                                                     | 12/06/2021         | Common<br>Stock                                                     | 141,900                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 39.19                                                           |                                         |                                                             |                                      |                                                                                                              | 12/01/2010                                                     | 12/01/2020         | Common<br>Stock                                                     | 108,000                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 29.35                                                           |                                         |                                                             |                                      |                                                                                                              | 12/01/2009                                                     | 12/01/2019         | Common<br>Stock                                                     | 128,400                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 36.9                                                            |                                         |                                                             |                                      |                                                                                                              | 12/05/2007                                                     | 12/05/2017         | Common<br>Stock                                                     | 110,700                          |

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |                               |       |
|----------------------------------------------------------------------|---------------|-----------|-------------------------------|-------|
|                                                                      | Director      | 10% Owner | Officer                       | Other |
| LESAR DAVID J<br>3000 N. SAM HOUSTON PARKWAY E.<br>HOUSTON, TX 77032 | X             |           | Chairman,<br>Pres. and<br>CEO |       |

## Signatures

Robert L. Hayter, by Power of Attorney 03/04/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 11, 2014.

The sales were made by Reporting Person's Spouse. The Reporting Person disclaims beneficial ownership of these securities, and the

(2) filing of this report is not an admission the the Reporting Person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$56.32 to \$56.38, inclusive. The Reporting Person undertakes to provide to Halliburton Company, any security holder of Halliburton Company, or the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price

(3) within the ranges set forth in footnote (3) to this Form 4.

(4) Options disposed of through exercise pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 11, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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