

AMERICAN ELECTRIC POWER CO INC  
Form U-9C-3  
March 28, 2002

File No. 074-00015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended December 31, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company  
Act of 1935

by  
AMERICAN ELECTRIC POWER COMPANY, INC.  
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.  
FORM U-9C-3  
For the Quarter Ended December 31, 2001

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### ITEM 1 - ORGANIZATION CHART

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company. The reference code for each subsidiary refers to the supplemental schedule to the organization chart that provides details regarding date and state of organization, nature of business, etc. required to be reported under Item 1.

Reference Code

|  |    |
|--|----|
| 00. American Electric Power Company, Inc.                        |    |
| 01. American Electric Power Service Corporation                  |    |
| 01. AEP C&I Company, LLC   | 1  |
| 02. AEP Ohio Commercial & Industrial Retail Company, LLC         | 2  |
| 02. AEP Texas Commercial & Industrial Retail GP, LLC             | 3  |
| 03. AEP Texas Commercial & Industrial Retail Limited Partnership | 4  |
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| 02. AEP Gas Power GP, LLC  | 5  |
| 03. AEP Gas Power Systems, LLC                                   | 6  |
| 01. AEP Coal, Inc.   | 7  |
| 02. Snowcap Coal Company, Inc.                                   | 8  |
| 02. AEP Kentucky Coal, LLC                                       | 9  |
| 02. AEP Ohio Coal, LLC   | 10 |
| 02. AEP West Virginia Coal, Inc.                                 | 11 |
| 01. AEP Energy Services, Inc.                                    | 12 |
| 02. Energy Trading Platform Holding Company, Inc.                | 13 |
| 02. Intercontinental Exchange, Inc.                              | 91 |
| 01. AEP Investments, Inc.  |    |
| 02. AEP EmTech, LLC  | 14 |
| 03. Altra Energy Technologies, Inc.                              | 15 |
| 03. Amperion, Inc.   | 16 |
| 01. Mutual Energy L.L.C.   | 17 |
| 02. Mutual Energy Service Company, L.L.C.                        | 18 |
| 02. AEP Ohio Retail Energy, LLC                                  | 19 |
| 01. AEP Power Marketing, Inc.                                    | 20 |
| 01. AEP T&D Services, LLC  | 21 |
| 01. AEP Pro Serv, Inc.   |    |
| 02. Power-span Corp  | 22 |
| 02. PHPK Technologies, Inc.                                      | 23 |
| 01. AEP Retail Energy LLC  | 24 |
| 01. AEP Texas POLR, LLC  | 25 |
| 02. AEP Texas POLR GP, LLC                                       | 26 |
| 03. POLR Power, L.P.   | 27 |

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|   |    |
|---|----|
| 02. POLR Power, L.P.                            | 27 |
| 01. Central and South West Corporation          |    |
| 02. Public Service Company of Oklahoma          |    |
| 03. Ash Creek Mining Company                    | 28 |
| 03. AEMT, Inc.                                  | 29 |
| 03. Powerware Solutions, Inc.                   | 30 |
| 03. Utility Data Resources, Inc.                | 31 |
| 02. Southwestern Electric Power Company         |    |
| 03. The Arkklahoma Corporation                  | 32 |
| 03. Southwest Arkansas Utilities Corporation    | 33 |
| 03. Dolet Hills Lignite Company, LLC            | 34 |
| 02. CSW Energy, Inc.                            |    |
| 03. CSW Development-I, Inc.                     | 35 |
| 04. Polk Power GP II, Inc.                      | 36 |
| 05. Polk Power GP, Inc.                         | 37 |
| 06. Polk Power Partners, LP                     | 38 |
| 07. Mulberry Holdings, Inc.                     | 39 |
| 04. CSW Mulberry II, Inc.                       | 40 |
| 05. CSW Mulberry, Inc.                          | 41 |
| 04. Polk Power Partners, LP                     | 38 |
| 05. Mulberry Holdings, Inc.                     | 39 |
| 04. Noah I Power GP, Inc.                       | 42 |
| 05. Noah I Power Partners, LP                   | 43 |
| 06. Brush Cogeneration Partners                 | 44 |
| 04. Noah I Power Partners, LP                   | 43 |
| 05. Brush Cogeneration Partners                 | 44 |
| 04. Orange Cogeneration GP II, Inc.             | 45 |
| 05. Orange Cogeneration G.P., Inc.              | 46 |
| 06. Orange Cogeneration Limited Partnership     | 47 |
| 07. Orange Cogen Funding Corp.                  | 48 |
| 08. Orange Holdings, Inc.                       | 49 |
| 04. CSW Orange II, Inc.                         | 50 |
| 05. CSW Orange, Inc.                            | 51 |
| 06. Orange Cogeneration Limited Partnership     | 47 |
| 07. Orange Cogen Funding Corp.                  | 48 |
| 08. Orange Holdings, Inc.                       | 49 |
| 03. CSW Development-II, Inc.                    | 52 |
| 03. CSW Ft. Lupton, Inc.                        | 53 |
| 04. Thermo Cogeneration Partnership, L.P.       | 54 |
| 03. CSW Sweeny GP I, Inc.                       | 55 |
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| 05. Sweeney Cogeneration Limited Partnership    | 57 |
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| 04. DECCO II LLC                                | 63 |
| 05. Diversified Energy Contractors, LP          | 64 |
| 04. Diversified Energy Contractors, LP          | 64 |
| 04. Industry and Energy Associates, L.L.C.      | 65 |
| 03. CSW Eastex GP I, Inc.                       | 66 |
| 04. CSW Eastex GP II, Inc.                      | 67 |
| 05. Eastex Cogeneration Limited Partnership     | 68 |
| 03. CSW Eastex LP I, Inc.                       | 69 |
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| 05. Eastex Cogeneration Limited Partnership     | 68 |
| 02. EnerShop Inc.                               | 71 |
| 03. Envirotherm, Inc.                           | 72 |
| 02. CSW Energy Services, Inc.                   | 73 |
| 03. Nuvest, L.L.C.                              | 74 |

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|  |    |
|--|----|
| 04. National Temporary Services, Inc.                  | 75 |
| 05. Octagon, Inc.                                      | 76 |
| 04. Numanco, L.L.C.                                    | 77 |
| 05. NuSun, Inc.  | 78 |
| 06. Sun Technical Services, Inc.                       | 79 |
| 06. Calibration and Testing Corporation                | 80 |
| 05. ESG Technical Services, L.L.C.                     | 81 |
| 05. National Environmental Services Technology, L.L.C. | 82 |
| 05. ESG Indonesia, L.L.C.                              | 83 |
| 05. ESG, L.L.C.  | 84 |
| 05. Numanco Services, LLC                              | 85 |
| 02. REP Holdco, Inc.                                   | 86 |
| 03. Mutual Energy CPL L.P.                             | 87 |
| 03. Mutual Energy WTU L.P.                             | 88 |
| 03. Mutual Energy SWEPCO L.P.                          | 89 |
| 03. REP General Partner LLC                            | 90 |
| 04. Mutual Energy CPL L.P.                             | 87 |
| 04. Mutual Energy WTU L.P.                             | 88 |
| 04. Mutual Energy SWEPCO L.P.                          | 89 |

| Name of Reporting Company                                     | Energy or Gas-related Company | Date of Organization | State of Organization | Percentage Of Voting Securities Held | Nature        |
|---|-------------------------------|----------------------|-----------------------|--------------------------------------|---------------|
| 1AEP C&I Company, LLC   | Energy                        | 10/10/00             | Delaware              | 100%                                 | Market energy |
| 2AEP Ohio Commercial & Industrial Retail Company, LLC         | Energy                        | 10/10/00             | Delaware              | 100%                                 | Market energy |
| 3AEP Texas Commercial & Industrial Retail GP, LLC             | Energy                        | 12/11/00             | Delaware              | 100%                                 | Market energy |
| 4AEP Texas Commercial & Industrial Retail Limited Partnership | Energy                        | 12/12/00             | Delaware              | 100%                                 | Market energy |
| 5AEP Gas Power GP, LLC  | Energy                        | 12/19/00             | Delaware              | 100%                                 | Distrib       |
| 6AEP Gas Power Systems, LLC                                   | Energy                        | 07/09/96             | Delaware              | 75%                                  | Distrib       |
| 7AEP Coal, Inc.   | Energy                        | 10/29/01             | Nevada                | 100%                                 | Coal M        |
| 8Snowcap Coal Company, Inc.                                   | Energy                        | 10/29/01             | Delaware              | 100%                                 | Coal M        |
| 9AEP Kentucky Coal, LLC                                       | Energy                        | 10/29/01             | Delaware              | 100%                                 | Coal M        |
| 10AEP Ohio Coal, LLC  | Energy                        | 10/26/01             | Delaware              | 100%                                 | Coal M        |
| 11AEP West Virginia Coal, Inc.                                | Energy                        | 10/29/01             | Nevada                | 100%                                 | Coal M        |
| 12AEP Energy Services, Inc.                                   | Energy                        | 09/24/96             | Ohio                  | 100%                                 | Broker        |
| 13Energy Trading Platform Holding Company, Inc.               | Energy                        | 04/28/00             | Delaware              | 16.7%                                | Energy        |
| 14AEP EmTech, LLC   | Energy                        | 01/03/01             | Delaware              | 100%                                 | Develop       |
| 15Altra Energy Technologies, Inc.                             | Energy                        | 08/19/97             | Delaware              | 5%                                   | Electr        |
| 16Amperion, Inc.  | Energy                        | 02/16/01             | Delaware              | 38.30%                               | Energy        |
| 17Mutual Energy L.L.C.  | Energy                        | 12/17/99             | Delaware              | 100%                                 | Non-re        |
| 18Mutual Energy Service Company, L.L.C.                       | Energy                        | 09/05/00             | Delaware              | 100%                                 | and pr        |
| 19AEP Ohio Retail Energy, LLC                                 | Energy                        | 09/05/00             | Delaware              | 100%                                 | Market        |
| 20AEP Power Marketing, Inc.                                   | Energy                        | 07/22/96             | Ohio                  | 100%                                 | energy        |
| 21AEP T&D Services, LLC                                       | Energy                        | 12/12/00             | Delaware              | 100%                                 | Market        |
|   |                               |                      |                       |                                      | energy        |
|   |                               |                      |                       |                                      | supply        |

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|   |        |          |          |         |                  |
|---|--------|----------|----------|---------|------------------|
| 22Powerspan Corp  | Energy | 05/14/97 | Delaware | 9.80%   | Pollut           |
| 23PHPK Technologies, Inc.                               | Energy | 07/01/91 | Ohio     | 40.40%  | Cryoge<br>Manufa |
| 24AEP Retail Energy LLC                                 | Energy | 06/28/00 | Delaware | 100%    | Market<br>energy |
| 25AEP Texas POLR, LLC (fka AEP Texas<br>Retail GP, LLC) | Energy | 12/11/00 | Delaware | 100%    | Market<br>energy |
| 26AEP Texas POLR GP, LLC                                | Energy | 11/14/01 | Delaware | 100%    | Market<br>energy |
| 27POLK Power, L.P.                                      | Energy | 11/14/01 | Delaware | 100.00% | Market<br>energy |
| 28Ash Creek Mining Company                              | Energy | 11/04/76 | Oklahoma | 100%    | Coal m           |
| 29AEMT, Inc.  | Energy | 12/23/97 | Florida  | 0%      | Power            |
| 30Powerware Solutions, Inc.                             | Energy | 02/15/94 | Texas    | 4.00%   | Energy<br>utilit |
| 31Utility Data Resources, Inc.                          | Energy | 12/30/97 | Delaware | 4.50%   | Electr<br>consul |
| 32The Arklahoma Corporation                             | Energy | 05/16/47 | Arkansas | 44.20%  | Electr           |
| 33Southwest Arkansas Utilities<br>Corporation           | Energy | 03/22/28 | Arkansas | 100.00% | Inacti           |
| 34Dolet Hills Lignite Company, LLC                      | Energy | 04/09/01 | Delaware | 100%    | Coal M           |
| 35CSW Development-I, Inc.                               | Energy | 12/06/90 | Delaware | 100%    | Qualif           |
| 36Polk Power GP II, Inc.                                | Energy | 03/20/95 | Delaware | 50%     | Qualif           |
| 37Polk Power GP, Inc.                                   | Energy | 09/18/91 | Delaware | 100%    | Qualif           |
| 38Polk Power Partners, LP                               | Energy | 02/19/92 | Delaware | 50%     | Qualif           |
| 39Mulberry Holdings, Inc.                               | Energy | 10/28/99 | Delaware | 100%    | Qualif           |
| 40CSW Mulberry II, Inc.                                 | Energy | 03/21/95 | Delaware | 100%    | Qualif           |
| 41CSW Mulberry, Inc.                                    | Energy | 02/03/94 | Delaware | 100%    | Qualif           |
| 42Noah I Power GP, Inc.                                 | Energy | 05/14/91 | Delaware | 100%    | Qualif           |
| 43Noah I Power Partners, LP                             | Energy | 05/16/91 | Delaware | 100%    | Qualif           |
| 44Brush Cogeneration Partners                           | Energy | 06/17/94 | Delaware | 50%     | Qualif           |
| 45Orange Cogeneration GP II, Inc.                       | Energy | 03/16/95 | Delaware | 50%     | Qualif           |
| 46Orange Cogeneration G.P., Inc.                        | Energy | 02/05/93 | Delaware | 100%    | Qualif           |
| 47Orange Cogeneration Limited<br>Partnership            | Energy | 02/05/93 | Delaware | 50.00%  | Qualif           |
| 48Orange Cogen Funding Corp.                            | Energy | 06/11/92 | Delaware | 100%    | Qualif           |
| 49Orange Holdings, Inc.                                 | Energy | 10/28/99 | Delaware | 100%    | Qualif           |
| 50CSW Orange II, Inc.                                   | Energy | 03/16/95 | Delaware | 100%    | Qualif           |
| 51CSW Orange, Inc.                                      | Energy | 04/21/93 | Delaware | 100%    | Qualif           |
| 52CSW Development-II, Inc.                              | Energy | 06/11/92 | Delaware | 100%    | Qualif           |
| 53CSW Ft. Lupton, Inc.                                  | Energy | 04/01/93 | Delaware | 50%     | Qualif           |
| 54Thermo Cogeneration Partnership,<br>L.P.              | Energy | 03/17/93 | Delaware | 100%    | Qualif           |
| 55CSW Sweeny GP I, Inc.                                 | Energy | 09/06/95 | Delaware | 100%    | Qualif           |
| 56CSW Sweeny GP II, Inc.                                | Energy | 09/06/95 | Delaware | 100%    | Qualif           |
| 57Sweeny Cogeneration Limited<br>Partnership            | Energy | 10/10/95 | Delaware | 50%     | Qualif           |
| 58CSW Sweeny LP I, Inc.                                 | Energy | 09/06/95 | Delaware | 100%    | Qualif           |
| 59CSW Sweeny LP II, Inc.                                | Energy | 09/06/95 | Delaware | 100%    | Qualif           |
| 60CSW Nevada, Inc.                                      | Energy | 06/29/93 | Delaware | 100%    | Energy           |
| 61CSW Services International, Inc.                      | Energy | 03/19/97 | Delaware | 100%    | Non-re<br>and pr |
| 62Diversified Energy Contractors<br>Company, LLC        | Energy | 07/03/97 | Delaware | 100%    | Non-re           |
| 63DECCO II LLC  | Energy | 08/08/97 | Delaware | 100%    | Non-re<br>and pr |
| 64Diversified Energy Contractors, LP                    | Energy | 08/18/98 | Delaware | 100%    | Non-re<br>and pr |
| 65Industry and Energy Associates,<br>L.L.C.             | Energy | 05/26/98 | Delaware | 100%    | Non-re<br>and pr |
| 66CSW Eastex GP I, Inc.                                 | Energy | 09/04/98 | Delaware | 100%    | Qualif           |
| 67CSW Eastex GP II, Inc.                                | Energy | 09/04/98 | Delaware | 100%    | Qualif           |

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|  |        |          |              |        |               |
|--|--------|----------|--------------|--------|---------------|
| 68Eastex Cogeneration Limited Partnership            | Energy | 09/09/98 | Delaware     | 50%    | Qualif        |
| 69CSW Eastex LP I, Inc.                              | Energy | 09/04/98 | Delaware     | 100%   | Qualif        |
| 70CSW Eastex LP II, Inc.                             | Energy | 09/04/98 | Delaware     | 100%   | Qualif        |
| 71EnerShop Inc.                                      | Energy | 09/11/95 | Delaware     | 100%   | Energy        |
| 72Envirotherm, Inc.                                  | Energy | 01/18/82 | Texas        | 100%   | Energy        |
| 73CSW Energy Services, Inc.                          | Energy | 09/24/97 | Delaware     | 100%   | Energy        |
| 74Nuvest, L.L.C.                                     | Energy | 02/20/96 | Delaware     | 92.90% | Staff         |
| 75National Temporary Services, Inc.                  | Energy | 12/31/90 | Pennsylvania | 100%   | Staff         |
| 76Octagon, Inc.                                      | Energy | 11/05/93 | Delaware     | 100%   | Staff         |
| 77Numanco, L.L.C.                                    | Energy | 04/08/96 | Oklahoma     | 100%   | Staff         |
| 78NuSun, Inc.  | Energy | 04/26/88 | Delaware     | 100%   | Staff         |
| 79Sun Technical Services, Inc.                       | Energy | 01/16/91 | California   | 100%   | Staff         |
| 80Calibration and Testing Corporation                | Energy | 01/02/80 | California   | 100%   | Staff         |
| 81ESG Technical Services, L.L.C.                     | Energy | 07/16/99 | Oklahoma     | 100%   | Staff         |
| 82National Environmental Services Technology, L.L.C. | Energy | 11/13/98 | Oklahoma     | 100%   | Staff         |
| 83ESG Indonesia, L.L.C.                              | Energy | 11/13/98 | Oklahoma     | 100%   | Staff         |
| 84ESG, L.L.C.  | Energy | 11/27/96 | Oklahoma     | 50%    | Staff         |
| 85Numanco Services, LLC                              | Energy | 04/08/96 | Oklahoma     | 100%   | Staff         |
| 86REP Holdco, Inc.                                   | Energy | 04/04/01 | Delaware     | 100%   | Market energy |
| 87Mutual Energy CPL L.P.                             | Energy | 04/04/01 | Delaware     | 100%   | Market energy |
| 88Mutual Energy WTU L.P.                             | Energy | 04/04/01 | Delaware     | 100%   | Market energy |
| 89Mutual Energy SWEPCO L.P.                          | Energy | 04/04/01 | Delaware     | 100%   | Market energy |
| 90REP General Partner LLC                            | Energy | 04/04/01 | Delaware     | 100%   | Market energy |
| 91Intercontinental Exchange, Inc.                    | Energy | 06/16/00 | Delaware     | 4.87   | Energy        |

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

| Company Issuing Security | Type of Security Issued | Principal Amount of Security | Issue or Renewal | Cost of Capital | Person to Whom Security Was Issued | Collateral Given With Security |
|--------------------------|-------------------------|------------------------------|------------------|-----------------|------------------------------------|--------------------------------|
| (in thousands)           |                         |                              |                  |                 |                                    |                                |

NONE

FINANCING AMONG ASSOCIATE COMPANIES:

| Company Issuing Security  | Type of Security Issued | Principal Amount of Security | Issue or Renewal | Cost of Capital | Person to Whom Security Was Issued |
|---------------------------|-------------------------|------------------------------|------------------|-----------------|------------------------------------|
| (in thousands)            |                         |                              |                  |                 |                                    |
| Sweeny Cogen Unit #4      | Loan Note               | 9,876                        | -                | -               | CSW Energy, Inc.                   |
| CSW Power Marketing, Inc. | Loan Note               | 220                          | -                | -               | CSW Energy, Inc.                   |

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CAPITAL CONTRIBUTIONS:

| Company<br>Contributing<br>Capital<br>----- | Company<br>Receiving<br>Capital<br>----- | Amount of<br>Capital Contributions<br>-----<br>(in thousands) |
|---|--|---|
| None  |  |   |

ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

| Reporting<br>Company<br>Rendering<br>Services<br>----- | Associate<br>Company<br>Receiving<br>Services<br>----- | Types of<br>Services<br>Rendered<br>----- | Direct<br>Costs<br>Charged<br>----- | Indirect<br>Costs<br>Charged<br>----- | Cost<br>of Capital<br>----- | Total<br>Amount<br>Billed<br>----- |
|--|--|---|-------------------------------------|---------------------------------------|-----------------------------|------------------------------------|
| (in thousands)   |  |   |                                     |                                       |                             |                                    |
| Nuvest, LLC  | South Texas Project                                    | Engineering                               | \$ 307                              | -                                     | -                           | \$ 307                             |
| Nuvest, LLC  | D.C. Cook  | Engineering                               | 4,733                               | -                                     | -                           | 4,733                              |

Part II -- Transactions performed by associate companies on behalf of reporting companies

| Associate<br>Company<br>Rendering<br>Services<br>----- | Reporting<br>Company<br>Receiving<br>Services<br>----- | Types of<br>Services<br>Rendered<br>----- | Direct<br>Costs<br>Charged<br>----- | Indirect<br>Costs<br>Charged<br>----- |
|--|--|---|-------------------------------------|---------------------------------------|
| (in thousands)   |  |   |                                     |                                       |
| American Electric<br>Power Service Corporation         | AEPES  | Administrative & Marketing                | \$25,997                            | \$3,976                               |
| AEP Resources Gas Holding Company                      | AEPES  | Administrative                            | 11,428                              | -                                     |
| CSW Energy, Inc.                                       | Polk Power<br>Partners, L.P.                           | Plant Services                            | 355                                 | 203                                   |
| CSW Energy, Inc.                                       | Orange<br>Cogeneration<br>L.P.                         | Plant Services                            | 634                                 | 206                                   |
| CSW Dev I, Inc.  | Polk Power<br>Partners, L.P.                           | Plant Services                            | 136                                 | -                                     |
| CSW Services International Inc.                        | Eastex<br>Cogeneration<br>L.P.                         | Plant Services                            | 328                                 | -                                     |
| CSW Services International Inc.                        | Sweeny<br>Cogeneration<br>L.P.                         | Plant Services                            | 384                                 | 275                                   |
| Total  |  |   | \$39,262                            | \$4,660                               |
|  |  |   | =====                               | =====                                 |

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

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Investments in energy-related companies:

(in thousands)

|  |                 |                 |
|--|-----------------|-----------------|
| Total consolidated capitalization<br>as of December 31, 2001   | \$23,914,561(a) | Line 1          |
| Total capitalization multiplied by 15%<br>(line 1 multiplied by 0.15)  | 3,587,184       | Line 2          |
| Greater of \$50 million or line 2  | \$3,587,184     | Line 3          |
| Total current aggregate investment:<br>(categorized by major line of energy-related business)  |                 |                 |
| Energy-related Category 1: Energy Services   | 19,302          |                 |
| Energy-related Category 5: Energy Marketing  | 680,948         |                 |
| Energy-related Category 7: Maintenance Services  | 53,133          |                 |
| Energy-related Category 8: Qualifying Facility   | 1,440,955       |                 |
| Energy-related Category 10: Other Form of Energy   | 85,716          |                 |
| Energy-related Category 2,3,4,6,9  | 62,200          |                 |
| Total current aggregate investment   | 2,342,254       | Line 4<br>----- |
| Difference between the greater of \$50 million or 15% of capitalization and<br>the total aggregate investment of the registered holding company system<br>(line 3 less line 4) |                 |                 |
|  | \$1,244,930     | Line 5<br>===== |

(a) Includes short-term debt.

Investments in gas-related companies:

NONE

### ITEM 5 - OTHER INVESTMENTS

| Major Line<br>of Energy-Related<br>Business<br>-----      | Other<br>Investment in Last<br>U-9C-3 Report<br>----- | Other<br>Investment in This<br>U-9C-3 Report<br>----- | Reason for Difference in<br>Other Investment<br>----- |
|---|---|---|---|
| (in thousands)  |   |   |   |
| CSW Energy services<br>Invested prior to<br>April 1, 1997 | \$ 2,696  | \$ 2,696  | Amounts are excluded from Item                        |
| Qualifying facility<br>Invested prior to<br>April 1, 1997 | \$194,644   | \$194,644   | Amounts are excluded from Item                        |

### ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

Financial Statements:

Balance sheets and Income Statements for period ended December 31, 2001 are filed confidentially under a separate cover for: AEP Energy Services,



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Inc.  
AEP Retail Energy, LLC  
CSW Development-I, Inc. (consolidated)  
CSW Ft. Lupton, Inc.  
Sweeny Companies (consolidated)  
Enershop, Inc.  
CSW Energy Services, Inc.  
CSW Power Marketing, Inc.  
Diversified Energy Contractors Company (DECCO)  
CSW Services International  
Eastex Cogeneration LP Nuvest, LLC  
AEP C&I Company, LLC  
AEP Gas Power GP, LLC  
AEP Gas Power System GP, LLC  
AEP Ohio C&I Retail Company  
AEP Retail Energy, LLC  
AEP T&D Services, LLC  
AEP Texas C&I Retail GP, LLC  
AEP Texas Retail GP, LLC  
Mutual Energy LLC  
Mutual Energy Service Company  
Dolet Hills Lignite Company  
CSW Energy Consolidated  
CSWI Consolidated

Exhibits:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.

CERTIFICATE

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended September 30, 2001 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission  
302 West Washington Street, E 306  
Indianapolis, Indiana 46204

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Kentucky Public Service Commission  
730 Schenkel Lane  
P.O. Box 615  
Frankfort, Kentucky 40602

Michigan Public Service Commission  
6545 Mercantile Way  
P.O. Box 30221  
Lansing, Michigan 48909

Public Service Commission of West Virginia  
201 Brooks Street  
P.O. Box 812  
Charleston, West Virginia 25323

Tennessee Regulatory Authority  
460 James Robertson Parkway  
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio  
180 East Broad Street  
Columbus, Ohio 43215

Virginia State Corporation Commission  
1300 East Main Street  
P.O. Box 2118  
Richmond, Virginia 23216

Arkansas Public Service Commission  
1000 Center  
P.O. Box 400  
Little Rock, AR 72203-0400

Louisiana Public Service Commission  
One American Place  
P.O. Box 91154  
Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission  
2102 N. Lincoln Blvd.  
P.O. Box 52000-2000  
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas  
1701 N. Congress Avenue  
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 28th day of  
March, 2002.

/s/ A. A. Pena

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A. A. Pena  
Treasurer

SIGNATURE

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The undersigned system company has duly caused this quarterly report to be signed on its behalf by the undersigned, thereunto duly authorized, pursuant to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /s/ A. A. Pena

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A. A. Pena  
Treasurer

March 28, 2002