

MICROVISION INC

Form 3

November 26, 2013

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Westgor David J

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/19/2013

3. Issuer Name and Ticker or Trading Symbol
MICROVISION INC [MVIS]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP, General Counsel & Secretary

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting PersonC/O MICROVISION,
INC., Â 6244 185TH AVENUE
NE

(Street)

REDMOND, Â WA Â 98052

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Restricted Stock

12,315

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	08/15/2012 ⁽¹⁾	08/03/2022	Common Stock	15,000	\$ 1.8	D	Â
Non-Qualified Stock Option (right to buy)	08/08/2014 ⁽¹⁾	08/08/2023	Common Stock	15,000	\$ 2.28	D	Â
Non-Qualified Stock Option (right to buy)	04/06/2012 ⁽²⁾	04/06/2021	Common Stock	1,467	\$ 10.4	D	Â
Non-Qualified Stock Option (right to buy)	04/23/2010 ⁽³⁾	04/23/2019	Common Stock	4,555	\$ 14.88	D	Â
Non-Qualified Stock Option (right to buy)	03/25/2009 ⁽³⁾	03/25/2018	Common Stock	2,513	\$ 17.84	D	Â
Non-Qualified Stock Option (right to buy)	04/26/2010 ⁽⁴⁾	04/26/2020	Common Stock	2,908	\$ 27.28	D	Â
Non-Qualified Stock Option (right to buy)	06/07/2008 ⁽³⁾	06/07/2017	Common Stock	3,125	\$ 45.44	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Westgor David J C/O MICROVISION, INC. 6244 185TH AVENUE NE REDMOND, WA 98052	Â	Â	Â	VP, General Counsel & Secretary	Â

Signatures

David J.
Westgor

11/26/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The reporting person vests ownership in this stock option as follows: 33% upon each anniversary of the date of grant, subject to the terms of the 2013 Incentive Plan.
- (2) The reporting person vests ownership in this stock option as follows: 25% upon each anniversary of the date of grant, subject to the terms of the 2013 Incentive Plan.
- (3) This stock option became fully exercisable prior to the Event Date triggering this Form 3 filing.

- (4) The reporting person vests ownership of 1,876 shares of this stock option as follows: 25% upon each anniversary of the date of grant, subject to the terms of the 2013 Incentive Plan. The balance of the stock option (1,032 shares) became fully exercisable prior to the Event Date triggering this Form 3 filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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