LUNDY RUSS II Form 3/A February 07, 2013

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement STAGE STORES INC [SSI] LUNDY RUSS II (Month/Day/Year) 01/28/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 10201 MAIN STREET 02/07/2013 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other (give title below) (specify below) HOUSTON, TXÂ 77025 Form filed by More than One EVP, Stores Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock (1) 18,384 (2) D Â Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

Edgar Filing: LUNDY RUSS II - Form 3/A

				Shares		(I) (Instr. 5)	
Stock Appreciation Rights	03/28/2009	03/28/2014	Common Stock	1,250	\$ 22.96	D	Â
Stock Appreciation Rights	03/28/2010	03/28/2014	Common Stock	1,625	\$ 22.96	D	Â
Stock Appreciation Rights	03/28/2011	03/28/2014	Common Stock	1,625	\$ 22.96	D	Â
Stock Appreciation Rights	03/27/2013	03/27/2016	Common Stock	3,000	\$ 9.77	D	Â
Stock Appreciation Rights	03/26/2013	03/26/2017	Common Stock	3,000	\$ 15.5	D	Â
Stock Appreciation Rights	03/26/2014	03/26/2017	Common Stock	3,000	\$ 15.5	D	Â
Stock Appreciation Rights	03/29/2013	03/29/2018	Common Stock	1,450	\$ 18.84	D	Â
Stock Appreciation Rights	03/29/2014	03/29/2018	Common Stock	1,450	\$ 18.84	D	Â
Stock Appreciation Rights	03/29/2015	03/29/2018	Common Stock	1,450	\$ 18.84	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
LUNDY RUSS II 10201 MAIN STREET HOUSTON, Â TXÂ 77025	Â	Â	EVP, Stores	Â		

#### **Signatures**

/s/ R.E. Stasyszen, Attorney in Fact for Russ
Lundy II

02/07/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purpose of this amended Form 3 is to correct the number of shares acquired pursuant to the Stage Stores Deferred Compensation Plan previously disclosed as 1,699 to 1,996.
  - Includes 16,388 non-vested restricted shares, of which 2,288 shares were granted on March 29, 2011, 4,100 shares were granted on March 28, 2012, and 10,000 shares were granted on July 23, 2012. The shares will vest 25% per year on the first four anniversary dates from the date of grant. Also includes 1,996 shares acquired pursuant to the Stage Stores Deferred Compensation Plan (the "Plan"), that
- (2) from the date of grant. Also includes 1,996 shares acquired pursuant to the Stage Stores Deferred Compensation Plan (the "Plan"), that may not be transferred to an alternative investment within the Plan or liquidated by the reporting person and will remain in the reporting person's account until such date as the reporting person is no longer an employee of the issuer and for a period of six months thereafter, at which time they will be transferred to the reporting person.

Reporting Owners 2

#### Edgar Filing: LUNDY RUSS II - Form 3/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.