Westfield John M Form 4 August 05, 2009

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

**BOULEVARD** 

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Westfield John M

(First)

(Street)

2. Issuer Name and Ticker or Trading

Symbol

ADAPTEC INC [ADPT]

3. Date of Earliest Transaction

(Month/Day/Year) 08/03/2009

Director

10% Owner Other (specify

X\_ Officer (give title below) below)

VP, Corp Controller

(Check all applicable)

5. Relationship of Reporting Person(s) to

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Issuer

MILPITAS, CA 95035

691 SOUTH MILPITAS

(City) (State) (Zip)

(Middle)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

Code (Instr. 8)

3.

TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

5. Amount of Securities Beneficially Owned Following Reported

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

(9-02)

(A) or

Transaction(s) (Instr. 3 and 4) Price

Common Stock

08/04/2009

Code V Amount 1,907 F (1)

(D) D 2.9

40,800

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Westfield John M - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orderivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Incentive Stock Option (right to buy)	\$ 2.86	08/03/2009		A	49,310	(2)	08/03/2016	Common Stock	49,3
Non-Qualified Stock Option (right to buy)	\$ 2.86	08/03/2009		A	10,690	(2)	08/03/2016	Common Stock	10,6
Restricted Stock Units	\$ 0.001	08/03/2009		A	15,000	(3)	<u>(4)</u>	Common Stock	15,0

#### **Reporting Owners**

Reporting Owner Name / Address		R	Relationships	
	Director	10% Owner	Officer	Other

Westfield John M 691 SOUTH MILPITAS BOULEVARD MILPITAS, CA 95035

VP, Corp Controller

# **Signatures**

/s/ John M.
Westfield

\*\*Signature of Reporting Person

O8/05/2009

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares that were automatically withheld to cover tax withholding obligations.
- A total of 60,000 stock options were granted on 08/03/2009, of which 49,310 are Incentive Stock options and 10,690 are Non-Qualified (2) Stock Options. The aggregate of these options vest at the rate of 8.33% of the total option shares at the end of each three month period, such that the total option shares will be fully vested on 08/03/2012.
- (3) Represents a Restricted Stock Unit for shares of common stock that vests, based on certain performance criteria, over a period of 36 months.
- (4) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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