#### FIRST CHARTER CORP /NC/

Form 4 April 07, 2005

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

X Form filed by One Reporting Person Form filed by More than One Reporting

I

Person

7

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

Stock

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading JONES WALTER H JR Issuer Symbol FIRST CHARTER CORP /NC/ (Check all applicable) [FCTR] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) 10200 DAVID TAYLOR DRIVE 04/07/2005 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

### CHARLOTTE, NC 28262-2373

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) Owned (Instr. 8) (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) V Amount Price Code (D) Common 04/07/2005 M 360 A 8,208.3589 D 15.75 Stock Common 04/07/2005 M 700 A 8,908.3589 D Stock Common 04/07/2005 M 1.667 A 10,575.3589 D Stock 18.81 Common 04/07/2005 360 10,935.3589 D M Stock Spouse Common

IRA -

Margaret

## Edgar Filing: FIRST CHARTER CORP /NC/ - Form 4

Common Stock	30,470 I	Spouse Street Name - Margaret
Reminder: Report on a separate line for each class of securities ben	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivati Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	ve Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	(1)					(2)	(2)	Common Stock	11,620.23	
Stock Options (Right to buy)	\$ 23.66					(3)	01/19/2015	Common Stock	2,500	
Stock Options (Right to buy)	\$ 15.75	04/07/2005		M	360	<u>(4)</u>	01/17/2011	Common Stock	360	
Stock Options (Right to buy)	\$ 17.37	04/07/2005		M	700	<u>(5)</u>	01/16/2012	Common Stock	700	
Stock Options (Right to buy)	\$ 18.81	04/07/2005		M	1,66	7 <u>(6)</u>	01/22/2013	Common Stock	1,667	
Stock Options (Right to buy)	\$ 20.02	04/07/2005		M	360	(3)	01/21/2014	Common Stock	360	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JONES WALTER H JR 10200 DAVID TAYLOR DRIVE X CHARLOTTE, NC 28262-2373

## **Signatures**

Jan H Hollar, by Power of Attorney

04/07/2005 Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (3) Options are exercisable in 5 equal yearly installments beginning one year after grant date.
- (2) Non-Employee directors may withdraw common stock from the deferred comp plan the first day of the calendar month following retirement, death, or termination.
- (4) 1,440 options are currently exercisable. Remaining 360 options exercisable on 01/17/2005
- (5) 2,100 options are currently exercisable. The remaining 1,400 options are exercisable in equal installments on 01/16/2005 and 01/16/2006
- (6) 1,666 options are currently exercisable. The remaining 3,334 options are exercisable in equal installments on 01/22/2005 and 01/22/2006
- (1) One for One

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3