Edgar Filing: NAVISTAR INTERNATIONAL CORP - Form 4

NAVISTAR INTERNATIONAL CORP

Form 4

Common

Common

Stock

Stock

02/03/2016

February 05, 2016

1 Columny 03, 20	010										
FORM	4 UNITED S		S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB APPROVAL OMB Number: 3235-0287		
Check this b	oox	***	isiiiigioii,	D.C. 20.	J T J				January 31,		
if no longer subject to Section 16. Form 4 or	STATEM	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					NERSHIP OF	Expires: Estimated a burden hou response	2005 verage		
Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Res	sponses)										
1. Name and Add Kramer Curt A	Symbol					5. Relationship of Reporting Person(s) to Issuer					
		NAVISTAR INTERNATIONAL CORP [NAV]				(Check all applicable)					
(Last)		3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner Specify					
	NTERNATION ON, 2701 NAV	0=,00,	2016				below) Corp	below) oorate Secretary			
			4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City)	(State) (Z	Zip) Tal	ole I - Non-D	erivative S	Securi	ities Acq	quired, Disposed of	f, or Beneficial	ly Owned		
	2. Transaction Date Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year	Code (Instr. 8)	4. Securi on(A) or Di (D) (Instr. 3,	spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/03/2016		M	157	A	\$ 0 (1)	1,773	D			

D

157

D

1,616

 $163.8942 \, \frac{(2)}{}$

D

By Navistar

401(k)

Savings Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Relationships

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Prio Deriv Secur (Instr.
				Code V	of (D) (Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)	02/03/2016		M	157	(3)	(3)	Common Stock	157	\$

Reporting Owners

Reporting Owner Name / Address	· · · · · · · · · · · · · · · · · · ·					
, G	Director	10% Owner	Officer	Other		
Kramer Curt A						
NAVISTAR INTERNATIONAL CORPORATION			Corporate			
2701 NAVISTAR DRIVE			Secretary			
LISLE, IL 60532						

Signatures

Curt A. Kramer 02/05/2016

**Signature of Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit (RSU) represents the right to receive the cash equivalent of Navistar's common stock converted on a 1 to 1 basis, unless the Corporation elects to settle the RSUs in common stock.
- Based on a statement dated February 3, 2016, the reporting person has acquired .0009 shares, not previously reported, as part of a cash reconciliation done between the Company's 401(k) recordkeeping system and the Company's 401(k) trust account.

(3)

Reporting Owners 2

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The RSUs disposed were from an award of 472 RSUs that became or will become payable in cash, unless the Corporation elects to settle the RSUs in common stock, in three annual installments as follows: 158 shares on 2/3/2015; 157 shares on 2/3/2016; and 157 shares on 2/3/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.