Wickersham Scott W Form 4 August 28, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

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Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

burden hours per

*See* Instruction 1(b).

Common

Common

Common

Common

Stock

Stock

Stock

Stock

08/27/2018

08/27/2018

08/27/2018

08/27/2018

(Print or Type Responses)

1. Name and A Wickershan	Address of Reporting Person (	2. Issuer Name and Ticl Symbol RAVEN INDUSTRI [RAVN]	Č	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) PO BOX 5	(First) (Middle)	3. Date of Earliest Transa (Month/Day/Year) 08/27/2018	Director 10% Owner _X_ Officer (give title Other (specify below) Div VP & General Manager				
SIOUX FA	(Street) LLS, SD 57117-5107	4. If Amendment, Date O Filed(Month/Day/Year)	iginal	6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by N Person		rson	
(City)	(State) (Zip)	Table I - Non-Deriv	ative Securities Acq	quired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any	tion Date, if Transaction(A) Code (Instr. 8)	ecurities Acquired or Disposed of (D) etr. 3, 4 and 5)  (A) or or ount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	08/27/2018	M 2,4	00 A \$20.1	4,140	D		

F

M

F

M

1,335

9,200

4,474

1,625

A

2,805

12,005

7,531

\$ 29.2 9,156

D

D

D

D

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1,134 D \$ 8,022 Common 08/27/2018 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (1)	\$ 20.1	08/27/2018		M		2,400	03/17/2016	03/17/2020	Common Stock	2,400
Stock Option (1)	\$ 15.61	08/27/2018		M		9,200	04/05/2017	04/05/2021	Common Stock	9,200
Stock Option (1)	\$ 29.2	08/27/2018		M		1,625	04/05/2018	04/05/2022	Common Stock	1,625
Stock Option (1)	\$ 35.05						04/05/2019	04/05/2023	Common Stock	5,090
Restricted Stock Unit - 2016	<u>(2)</u>						(3)	(3)	Common Stock	2,560
Restricted Stock Unit - 2017	(2)						<u>(4)</u>	<u>(4)</u>	Common Stock	2,740
Restricted Stock Unit - 2018	(2)						<u>(5)</u>	<u>(5)</u>	Common Stock	2,853

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

2 Reporting Owners

### Edgar Filing: Wickersham Scott W - Form 4

Director 10% Owner Officer Other

Wickersham Scott W Div VP & PO BOX 5107 General SIOUX FALLS, SD 57117-5107 Manager

## **Signatures**

/s/ Scott W. 08/28/2018 Wickersham

\*\*Signature of Reporting Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to Rule 16b-3(d). Right to buy. All options vest at the rate of 25% annually beginning on the date listed in Exercisable, column 6
- (2) Each Time-based Restricted Stock Unit is the economic equivalent of one share of RAVN Common Stock.
- (3) The Time-based Restricted Stock Units shall become vested on the third anniversary of the effective date 4/5/16, provided that the Employee remains continuously employed by the Company through the Vesting Date.
- (4) The Time-based Restricted Stock Units shall become vested on the third anniversary of the effective date 4/5/17, provided that the Employee remains continuously employed by the Company through the Vesting Date.
- (5) The Time-based Restricted Stock Units shall become vested on the third anniversary of the effective date 4/5/18, provided that the Employee remains continuously employed by the Company through the Vesting Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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