

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-B

February 28, 2013

PAGE 1  
000 B000000 12/31/2012  
000 C000000 0000897802  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 U  
001 A000000 SPECIAL OPPORTUNITIES FUND, INC.  
001 B000000 811-07528  
001 C000000 8776070414  
002 A000000 615 EAST MICHIGAN STREET  
002 B000000 MILWAUKEE  
002 C000000 WI  
002 D010000 53202  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
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007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 BROOKLYN CAPITAL MANAGEMENT, LLC  
008 B000001 A  
008 C000001 801-70596  
008 D010001 SADDLE BROOK  
008 D020001 NJ  
008 D030001 07663  
010 A000001 U.S. BANCORP FUND SERVICES, LLC  
010 B000001 85-11357  
010 C010001 MILWAUKEE  
010 C020001 WI  
010 C030001 53202  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
PAGE 2  
013 B030001 19103  
015 A000001 U.S. BANK, N.A.  
015 B000001 C  
015 C010001 MILWAUKEE  
015 C020001 WI  
015 C030001 53212

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015 E010001 X  
018 000000 Y  
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020 A000001 MERLIN ADVISORS, INC.  
020 C000001 54  
020 A000002 RAYMOND JAMES & ASSOCIATES, INC.  
020 C000002 38  
020 A000003 CREDIT RESEARCH & TRADING, LLC  
020 C000003 20  
020 A000004 CANTOR FITZGERALD & CO.  
020 C000004 9  
020 A000005 WALL STREET MANAGEMENT, INC.  
020 C000005 8  
020 A000006 NATIONAL FINANCIAL SERVICE CORP.  
020 C000006 7  
020 A000007 WALLACH BETH  
020 C000007 7  
020 A000008 CANACCORD GENUITY  
020 C000008 6  
020 A000009 LAZARD CAPITAL MARKETS, LLC  
020 C000009 3  
020 A000010 LEGENCD CAPITAL MANAGEMENT  
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021 000000 159  
022 A000001 MAXIM FINANCIAL CORP.  
022 C000001 8722  
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022 A000002 DEUTSCHE BANK TRUST CO.  
022 C000002 5315  
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022 A000003 LAZARD CAPITAL MARKETS LLC.  
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022 D000003 676  
022 A000004 US BANK N.A.  
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022 A000005 PRINCERIDGE  
022 C000005 3218  
022 D000005 0  
022 A000006 AEGIS CAPITAL CORP.  
022 C000006 0  
022 D000006 2008  
022 A000007 R.B.C. DOMINION SECURITIES, INC.  
022 C000007 1759  
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022 D000007 0  
022 A000008 SECONDMARKET, INC.  
022 C000008 1159  
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022 A000009 CHARDAN CAPITAL MARKETS, LLC  
022 C000009 1091  
022 D000009 0  
022 A000010 LADENBURG THALMAN & CO.  
022 C000010 1032  
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023 C000000 29848  
023 D000000 7137  
024 000000 N  
025 D000001 0  
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025 D000003 0

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042 H000000 0  
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 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
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 062 C000000 0.0

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062 D000000 0.0  
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062 F000000 0.0  
062 G000000 0.0  
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062 I000000 0.0  
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062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0

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063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
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066 E000000 N  
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066 G000000 Y  
067 000000 N  
068 A000000 N  
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070 D010000 Y  
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070 O010000 Y  
070 O020000 Y  
070 P010000 Y  
070 P020000 N  
070 Q010000 Y

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070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		110963
071	B000000		74942
PAGE 7			
071	C000000		120396
071	D000000		62
072	A000000	12	
072	B000000		387
072	C000000		4546
072	D000000		0
072	E000000		0
072	F000000		1332
072	G000000		94
072	H000000		47
072	I000000		15
072	J000000		13
072	K000000		0
072	L000000		29
072	M000000		153
072	N000000		41
072	O000000		0
072	P000000		0
072	Q000000		38
072	R000000		46
072	S000000		205
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072	V000000		0
072	W000000		104
072	X000000		2117
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072	Z000000		2816
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072BB	000000		0
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072DD0	10000		1566
072DD0	20000		496
072EE	000000		4903
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073	A020000		0.0700
073	B000000		0.7200
073	C000000		0.0000
074	A000000		7
074	B000000		0
074	C000000		4832
074	D000000		762
074	E000000		4637
074	F000000		35617
074	G000000		0
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074	J000000		608
074	K000000		0
074	L000000		1048
PAGE 8			
074	M000000		30
074	N000000		162226
074	O000000		364
074	P000000		133

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074	Q000000	0
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074	R020000	0
074	R030000	0
074	R040000	44470
074	S000000	0
074	T000000	117259
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074	U020000	0
074	V010000	17.22
074	V020000	0.00
074	W000000	0.0000
074	X000000	193
074	Y000000	0
075	A000000	0
075	B000000	116679
076	000000	15.01
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	J000000	Y
077	Q020000	Y
078	000000	N
080	A000000	CHUBB GROUP OF INSURANCE COMPANIES
080	C000000	525
081	A000000	N
081	B000000	0
082	A000000	Y
082	B000000	25
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	133
086	A020000	2011
086	B010000	0
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086	C010000	749
086	C020000	37454
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0

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087	A010000	COMMON STOCK
087	A020000	84741T104
087	A030000	SPE
087	B010000	PREFERRED STOCK
087	B020000	84741T203
087	B030000	SPE PFD
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	Y
SIGNATURE	ANDREW DAKOS	
TITLE	PRESIDENT	