Wolf Pen Branch GP, LLC Form 4 March 30, 2018

### FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Wolf Pen Branch, LP

Symbol BROWN FORMAN CORP [BFA,

(Check all applicable)

BFB1

(Middle)

(Last)

3. Date of Earliest Transaction

Director X 10% Owner Other (specify Officer (give title

(Month/Day/Year)

03/29/2018

below)

C/O THE GLENVIEW TRUST COMPANY, 4946 U.S. HIGHWAY

(First)

42, SUITE 2000

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

3.

Applicable Line) Form filed by One Reporting Person X\_ Form filed by More than One Reporting

Person

LOUISVILLE, KY 40222

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

4. Securities Acquired (A) Transactionr Disposed of (D) Code (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

7. Nature of Indirect Ownership Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 4) (Instr. 3 and 4)

Code V Price Amount (D)

Class B

Common 03/29/2018 J(1)

(Instr. 8)

10,500,000 D \$0 0 D

(I)

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	m: .1	or		
						Exercisable	Date	Title	Number		
				~	<del></del>				of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b></b>	Director	10% Owner	Officer	Other			
Wolf Pen Branch, LP C/O THE GLENVIEW TRUST COMPANY 4946 U.S. HIGHWAY 42, SUITE 2000 LOUISVILLE, KY 40222		X					
Wolf Pen Branch GP, LLC C/O THE GLENVIEW TRUST COMPANY 4946 U.S. HIGHWAY 42, SUITE 2000 LOUISVILLE, KY 40222		X					

### **Signatures**

Wolf Pen Branch, LP, By: Wolf Pen Branch GP, LLC, its general partner, By: /s/ Martin S. 03/30/2018 Brown, Jr., Name: Martin S. Brown, Jr., Title: Chairman \*\*Signature of Reporting Person Date Wolf Pen Branch GP, LLC, By: /s/ Martin S. Brown, Jr., Name: Martin S. Brown, Jr., Title: 03/30/2018 Chairman

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On February 28, 2018, the reporting person received 10,500,000 shares of Class B Common Stock as a result of a 4-for-1 stock split by Brown-Forman Corporation, whereby holders of Class A Common Stock and Class B Common Stock received one share of Class B Common Stock for every four shares of Class A Common Stock or Class B Common Stock. On March 29, 2018, the reporting person distributed the shares to its general partner and limited partners on a pro rata basis. As the sole general partner of Wolf Pen Branch, LP,

Wolf Pen Branch GP, LLC may be deemed to indirectly beneficially own the securities owned by Wolf Pen Branch, LP, which owns the reported securities directly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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