## Edgar Filing: ERGEN CHARLES W - Form 4

ERGEN CHAF	RLES W									
Form 4	2000									
November 12, 2									PPROVAL	
FORM	SECUR	SECURITIES AND EXCHANGE COMMISSION								
Chaola this h			Was	hington,	D.C. 205	549		Number:	3235-0287	
Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations	<b>STATEM</b> Filed purs	<b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Expires:January 31, 2005Estimated average burden hours per response0.5	
may continu See Instructi 1(b).	ion			•	•	pany Act	of 1935 or Sectio 940	'n		
(I find of Type Kes	polises)									
1. Name and Address of Reporting Person <u>*</u> ERGEN CHARLES W		2. Issuer Name <b>and</b> Ticker or Trading Symbol EchoStar CORP [SATS]			5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (M	iddle)		Earliest Tr			(Cheo	ck all applicable	e)	
100 INVERNESS TERRACE EAST			(Month/Day/Year) 11/09/2009				_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) President, Chairman and CEO			
	(Street)			ndment, Da th/Day/Year)	-		6. Individual or Jo Applicable Line) _X_ Form filed by Form filed by N		erson	
ENGLEWOO	D, CO 80112						Person		1 0	
(City)	(State) (2	Zip)	Table	e I - Non-D	erivative S	Securities A	cquired, Disposed o	f, or Beneficia	lly Owned	
	2. Transaction Date Month/Day/Year)	Execution any	emed on Date, if Day/Year)	Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3, Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock							89,730	D		
Class A Common Stock							47	I	I <u>(1)</u>	
Class A Common Stock							5,435	I	I (2)	
Class A Common							3,705	Ι	I <u>(3)</u>	

Stock									
Class A Common Stock					201	I	I <u>(4)</u>		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.									
Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
		(0.8., P	uis, cuis, warrants,	options, co	sirver tible securities)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative onSecurities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and Am Underlying Sec (Instr. 3 and 4)	
						Date Exercisable	Expiration Date	A Title N	

Code V

 $G^{(6)}$  V

(A)

G<sup>(6)</sup> V 1,423,183

(D)

1,423,183

(5)

(5)

Reporting	Owners
	•

(5)

(5)

11/09/2009

11/09/2009

Class B

Stock

Class B

Stock

Common

Common

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ERGEN CHARLES W 100 INVERNESS TERRACE EAST ENGLEWOOD, CO 80112	Х	Х	President, Chairman and CEO					
Signatures								
/s/ Charles W. Ergen, by Brandon Ehrhart, his Attorney in								
Fact		11	/12/2009					
**Signature of Reporting Person			Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Held by Ms. Cantey Ergen.

S

Class A

Common

Stock

Class A

Common

Stock

(5)

(5)

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- (2) The shares are held by a custodian for the reporting person's children. The reporting person disclaims beneficial ownership of the shares, except to the extent of his pecuniary interest therein.
- (3) Held by Mr. Charlie Ergen in a 401(k) account.
- (4) Held by Ms. Cantey Ergen in a 401(k) account.
- (5) The holder of the shares of Class B stock may elect to convert any or all of their Class B shares into an equal number of Class A shares at any time for no additional consideration.

The shares were received in connection with the spin-off of DISH Network Corporation's ("DISH") technology and certain infrastructure assets effective on January 1, 2008 (the "Spin-Off"). Pursuant to the terms of the Ergen Four-Year GRAT, on November 9, 2009 all 693,371 shares held by the Ergen Four-Year GRAT were distributed as an annuity to Mr. Ergen, and following the distribution, the Ergen

(6) 695,577 shares herd by the Eigen Four-Year GRAT expired in accordance with its terms. Pursuant to the terms of the Ergen Five-Year GRAT, 729,812 shares were distributed as an annuity to Mr. Ergen on November 9, 2009, with the Ergen Five-Year GRAT retaining 427,184 shares. The Ergen Five-Year GRAT expires on November 9, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.