

Edgar Filing: CARESIDE INC - Form 3

CARESIDE INC
Form 3
May 01, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Date of Event Requiring Statement (Month/Day/Year)	4. Issuer Name and Ticker or Trading Symbol	
Paulson	Capital	Corp.	3/11/2002	Careside, Inc.	CSA
(Last)	(First)	(Middle)	3. IRS Identification Number of Reporting Person, if an entity (voluntary)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)	6. If Amendment, Date of Original (Month/Day/Year)
811 SW Naito Parkway, Suite 200			N/A	☐ Director ☐ Officer (give title below)	☒ 10% Owner ☐ Other (specify below)
(Street)					
Portland	OR	97204			7. Individual or Joint/Group Filing (Check Applicable Line)
(City)	(State)	(Zip)			☐ Form filed by One Reporting Person ☒ Form filed by More than One Reporting Person(1)

Table I Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	9,855	I	(2)
Common Stock	2,900,852	I	(3)

(Over)

SEC 1473 (1/3/02)

FORM 3 (Continued)

Table II Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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Explanation of Responses:

(1) In addition to Paulson Capital Corp. ("PLCC"), the reporting parties are: Chester L.F. Paulson, Jacqueline M. Paulson, Paulson Family LLC ("LLC"), and Paulson Investment Company, Inc. ("PIC"). The address for each of these parties is the same as that given for Mr. Paulson.

(2) Mr. and Mrs. Paulson may be deemed to be the beneficial owners of the securities pursuant to the rules and regulations of the Securities and Exchange Commission. Mr. and Mrs. Paulson are both controlling managers of LLC. The securities are held in the name of LLC.

(3) Mr. and Mrs. Paulson are both controlling managers of LLC, which is a controlling shareholder of PLCC, which is the parent company of PIC. The securities are held in the name of PIC. Mr. and Mrs. Paulson and LLC expressly disclaim beneficial ownership of the securities of which PIC is the record owner.

(4) The warrants listed ("Unit Warrants") grant the right to buy one unit consisting of one share of common stock and one warrant to purchase one share of common stock ("Common Warrants"). The Common Warrants have an exercise price of \$9.00 and will expire on 2/16/2004.

(5) The number listed assumes the full exercise of both the Unit Warrants and the Common Warrants issued as a component of the unit obtained upon exercise of the Unit Warrants.

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Chester L.F. Paulson, Individually

April 30, 2002

**Signature of Reporting Person

Date

/s/ Jacqueline M. Paulson, Individually

/s/ Paulson Family LLC

By: Chester L.F. Paulson, Manager

/s/ Paulson Capital Corp.

By: Chester L.F. Paulson, Chairman of the Board

/s/ Paulson Investment Company

By: Chester L.F. Paulson, Chairman of the Board

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, *see* Instruction 6 for procedure.

To view the actual filing form and general Instructions go to: <http://www.sec.gov/divisions/corpfin/forms/form3.htm>